



SOCIAL IMPACT ASSESSMENT

The Key Citations series was developed to provide a starting point for persons new to the various fields of impact assessment. The references provided are an indicative overview of the field and establish what might be regarded as the core literature. They include a selection of currently available textbooks published by commercial publishers, a selection of journal articles from the last 10 or so years, and key official documents. Some historically significant articles are also included. The means of determining key citations generally include consulting Scopus and Google Scholar and expert practitioners in the field. IAIA members contributing to this series acknowledge possible personal and regional bias and much difficulty in selecting only a few from among many excellent references in their fields.

The SIA Section in IAIA has endorsed the “International Principles for Social Impact Assessment” as being its official understanding of what SIA should be about. The International Principles were developed as an official IAIA Project, with workshops to develop the Principles being held at various IAIA and other conferences across six continents over a five year period. Several hundred people were consulted in the process and some fifty people made substantial contributions to the document.

- Vanclay, F. 2003. International Principles for Social Impact Assessment. *Impact Assessment & Project Appraisal* 21(1): 5-11.

TEXTBOOKS AND JOURNAL ARTICLES

- Barrow, C.J. 2000. *Social Impact Assessment: An Introduction*. London: Arnold.
- Becker, H.A. 1997. *Social Impact Assessment: Method and Experience in Europe, North America, and Developing World*. London: UCL Press.
- Becker, H.A. & Vanclay, F. (eds) 2003. *The International Handbook of Social Impact Assessment*. Cheltenham, UK: Edward Elgar.
- Burdge, R.J. 2003. The Practice of Social Impact Assessment. *Impact Assessment & Project Appraisal* 21(2): 84-88.
- Burdge, R.J. 2004. *A Community Guide to Social Impact Assessment* (3rd edn). Middleton (WI, USA): Social Ecology Press.
- Burdge, R.J. 2004. *The Concepts, Process and Methods of Social Impact Assessment*. Middleton (WI, USA): Social Ecology Press.
- Burdge, R. & Vanclay, F. 1996. Social Impact Assessment: A Contribution to the State of the Art Series. *Impact Assessment* 14(1): 59-86.
- Dale, A. & Lane, M. 1994. Strategic Perspectives Analysis: A Procedure for Participatory and Political Social Impact Assessment. *Society & Natural Resources* 7(3): 253-267.
- Dale, A., Taylor, C.N. & Lane, M. (eds) 2001. *Social Assessment in Natural Resource Management Institutions*. Collingwood (Victoria, Australia): CSIRO Publishing.
- Dietz, T. 1987. Theory and Method in Social Impact Assessment. *Sociological Inquiry* 57(1): 54-69.
- Egre, D. & Senecal, P. 2003. Social Impact Assessments of Large Dams Throughout the World: Lessons Learned Over Two Decades. *Impact Assessment & Project Appraisal* 21(3): 215-224.
- Esteves, A.M. & Vanclay, F. 2009. Social Development Needs Analysis as a Tool for SIA to Guide Corporate-Community Investment. *Environmental Impact Assessment Review* 29(2): 137-145.
- Finsterbusch, K. 1985. State of the Art in Social Impact Assessment. *Environment & Behavior* 17(2): 193-221.
- Finsterbusch, K. 1995. In Praise of SIA: A Personal Review of the Field of Social Impact Assessment. *Impact Assessment* 13(3): 229-252.
- Freudenburg, W.R. 1986. Social Impact Assessment. *Annual Review of Sociology* 12: 451-478.
- Gagnon, C., Hirsch, P. & Howitt, R. 1993. Can SIA Empower Communities? *Environmental Impact Assessment Review* 13(4): 229-253.
- Gramling, R. & Freudenburg, W.R. 1992. Opportunity-Threat, Development, and Adaptation: Toward a Comprehensive Framework for Social Impact Assessment. *Rural Sociology* 57(2): 216-234.



SOCIAL IMPACT ASSESSMENT KEY CITATIONS

- Goldman, L.R. (ed) 2000. *Social Impact Analysis: An Applied Anthropology Manual*. Oxford: Berg.
- Howitt, R. 2005. The Importance of Process in Social Impact Assessment: Ethics, Methods and Process for Cross-Cultural Engagement. *Ethics, Place & Environment* 8(2): 209-221.
- Interorganizational Committee on Guidelines and Principles for Social Impact Assessment. 1994. Guidelines and Principles for Social Impact Assessment. *Impact Assessment* 12(2): 107-152.
- Interorganizational Committee on Principles and Guidelines for Social Impact Assessment. 2003. Principles and Guidelines for Social Impact Assessment in the USA. *Impact Assessment & Project Appraisal* 21(3): 233-270.
- Lane, M.B., Ross, H. & Dale, A.P. 1997. Social Impact Research: Integrating the Technical, Political, and Planning Paradigms. *Human Organization* 56(3): 302-310.
- Lockie, S.F. 2001. SIA in Review: Setting the Agenda for Impact Assessment in the 21st Century. *Impact Assessment & Project Appraisal* 19(4): 277-287.
- Lockie, S.F. 2007. Deliberation and Actor-Networks: The 'Practical' Implications of Social Theory for the Assessment of Large Dams and Other Interventions. *Society & Natural Resources* 20(9): 785-799.
- Lockie, S.F. 2009. Coal Mining and the Resource Community Cycle: A Longitudinal Assessment of the Social Impacts of the Coppabella Coal Mine. *Environmental Impact Assessment Review* 29(5): 330-339.
- O'Faircheallaigh, C. 1999. Making Social Impact Assessment Count: A Negotiation-Based Approach for Indigenous People. *Society & Natural Resources* 12(1): 63-80.
- O'Faircheallaigh, C. 2009. Effectiveness in Social Impact Assessment: Aboriginal Peoples and Resource Development in Australia. *Impact Assessment & Project Appraisal* 27(2): 95-110.
- Rossouw, N. & Malan, S. 2007. The Importance of Theory in Shaping Social Impact Monitoring: Lessons from the Berg River Dam, South Africa. *Impact Assessment & Project Appraisal* 25(4): 291-299.
- Slootweg, R., Vanclay, F. & van Schooten, M. 2001. Function Evaluation as a Framework for the Integration of Social and Environmental Impact Assessment. *Impact Assessment & Project Appraisal* 19(1): 19-28.
- Taylor, C.N., Bryan, H. & Goodrich, C.G. 2004. *Social Assessment: Theory, Process and Techniques*. Middleton (WI, USA): Social Ecology Press.
- Tilt, B., Braun, Y. & He, D. 2009. Social Impacts of Large Dam Projects: A Comparison of International Case Studies and Implications for Best Practice. *Journal of Environmental Management* 90 (Supplement 3): S249-S257.
- Vanclay, F. 2002. Social Impact Assessment, in M. Tolba (ed) Responding to Environmental Change (Volume 4 of *Encyclopedia of Environmental Change*). Chichester: Wiley, 387-393.
- Vanclay, F. 2002. Conceptualising Social Impacts. *Environmental Impact Assessment Review* 22(3): 183-211.
- Vanclay, F. 2004. The Triple Bottom Line and Impact Assessment: How Do TBL, EIA, SIA, SEA and EMS Relate to Each Other? *Journal of Environmental Assessment Policy & Management* 6(3): 265-288.
- Vanclay, F. 2006. Principles for Social Impact Assessment: A Critical Comparison between the International and US Documents. *Environmental Impact Assessment Review* 26(1): 3-14.

HISTORY



Compiled July 1993 by Rabel J. Burdge, Frank Vanclay, and C. Nicholas Taylor. Editor: Rita R. Hamm. Updated March 2004.

Updated August 2009 by Ana Maria Esteves and Frank Vanclay. Editors: Frank Vanclay (chair), Ilse Aucamp, and Bill Ross.