

SOCIAL IMPACT ASSESSMENT

The Key Citations series was developed to provide a starting point for persons new to the various fields of impact assessment. The references provided are an indicative overview of the field and establish what might be regarded as the core literature. They include a selection of currently available textbooks published by commercial publishers, a selection of journal articles from the last 10 or so years, and key official documents. Some historically significant articles are also included. The means of determining key citations generally include consulting Scopus and Google Scholar and expert practitioners in the field. IAIA members contributing to this series acknowledge possible personal and regional bias and much difficulty in selecting only a few from among many excellent references in their fields.

IAIA's SIA Section has endorsed the "International Principles for Social Impact Assessment" as being its official understanding of what SIA should be about.

Vanclay, F. 2003. International Principles for Social Impact Assessment. *Impact Assessment and Project Appraisal* 21(1): 5-11.

The following reference volume is a compendium of key historical and contemporary documents of the social impact assessment field.

Vanclay, F. (ed) 2014. Developments in Social Impact Assessment. Cheltenham, UK: Edward Elgar.

BOOKS AND JOURNAL ARTICLES

- Banks, G. 2013. Little by Little, Inch by Inch: Project Expansion Assessments in the Papua New Guinea Mining Industry. *Resources Policy* 38(4):688-695.
- Barrow, C.J. 2000. Social Impact Assessment: An Introduction. London: Arnold.
- Becker, H.A. & Vanclay, F. (eds) 2003. *The International Handbook of Social Impact Assessment*. Cheltenham, UK: Edward Elgar.
- Burdge, R.J. 2004. A Community Guide to Social Impact Assessment (3rd edn). Middleton, USA: Social Ecology Press.
- Burdge, R.J. 2004. The Concepts, Process and Methods of Social Impact Assessment. MiddletonUSA: Social Ecology Press.
- Dale, A., Taylor, C.N. & Lane, M. (eds) 2001. *Social Assessment in Natural Resource Management Institutions*. Collingwood, Australia: CSIRO Publishing.
- Egre, D. & Senecal, P. 2003. Social Impact Assessments of Large Dams Throughout the World: Lessons Learned Over Two Decades. *Impact Assessment and Project Appraisal* 21(3): 215-224.
- Esteves, A.M. & Barclay, M. 2011. Enhancing the Benefits of Local Context: Integrating Social and Economic Impact Assessment into Procurement Strategies. *Impact Assessment and Project Appraisal* 29 (3): 205-215.
- Esteves, A.M. & Vanclay, F. 2009. Social Development Needs Analysis as a Tool for SIA to Guide Corporate-Community Investment. *Environmental Impact Assessment Review* 29(2): 137-145.
- Esteves, A.M., Franks, D.M. & Vanclay, F. 2012. Social Impact Assessment: The State of the Art. *Impact Assessment and Project Appraisal* 30(1): 35-44.
- Franks, D.M. & Vanclay, F. 2013. Social Impact Management Plans: Innovation in Corporate and Public Policy. Environmental Impact Assessment Review 43: 40-48.
- Franks, D.M., Brereton D. & Moran C.J. 2013. The Cumulative Dimensions of Impact in Resource Regions. *Resources Policy* 38(4):640-647.
- Goldman, L.R. (ed) 2000. Social Impact Analysis: An Applied Anthropology Manual. Oxford: Berg.
- Hanna, P. & Vanclay, F. 2013. Human Rights, Indigenous Peoples and the Concept of Free, Prior and Informed Consent. *Impact Assessment and Project Appraisal* 31(2), 146-157.
- João, E., Vanclay, F. & den Broeder, L. 2011. Emphasising Enhancement in All Forms of Impact Assessment: Introduction to a Special Issue. *Impact Assessment and Project Appraisal* 29(3): 170-180.
- Kania J. & Kramer M. 2011. Collective Impact. Stanford Social Innovation Review Winter pp.36-41.
- Kemp, D., & Vanclay, F. 2013. Human Rights and Impact Assessment: Clarifying the Connections in Practice. *Impact Assessment and Project Appraisal* 31(2):86–96.
- Kemp, D., 2010. Community Relations in the Global Mining Industry: Exploring the Internal Dimensions of Externally Orientated Work. *Corporate Social Responsibility and Environmental Management* 17(1):1-14.



IAIA International Headquarters 1330 23rd Street South, Suite C Fargo, ND 58103-3705 USA Phone +1.701.297.7908 Fax +1.701.297.7917 info@iaia.org | www.iaia.org

CUMULATIVE EFFECTS KEY CITATIONS

- Lockie, S.F. 2001. SIA in Review: Setting the Agenda for Impact Assessment in the 21st Century. *Impact Assessment and Project Appraisal* 19(4): 277-287.
- Lockie, S.F. 2007. Deliberation and Actor-Networks: The 'Practical' Implications of Social Theory for the Assessment of Large Dams and Other Interventions. *Society & Natural Resources* 20(9): 785-799.
- O'Faircheallaigh, C. 1999. Making Social Impact Assessment Count: A Negotiation-Based Approach for Indigenous People. *Society & Natural Resources* 12(1): 63-80.
- O'Faircheallaigh, C. 2009. Effectiveness in Social Impact Assessment: Aboriginal Peoples and Resource Development in Australia. Impact Assessment and Project Appraisal 27(2): 95-110.
- Peltonen, L. & Sairinen, R., 2010. Integrating Impact Assessment and Conflict Management in Urban Planning: Experiences from Finland. *Environmental Impact Assessment Review* 30(5): 328-337.
- Rossouw, N. & Malan, S. 2007. The Importance of Theory in Shaping Social Impact Monitoring: Lessons from the Berg River Dam, South Africa. *Impact Assessment and Project Appraisal* 25(4): 291-299.
- Sairinen, R., 2004. Assessing Social Impacts of Urban Land-Use Plans: From Theory to Practice. *Boreal Environment Research* 9(6): 509-517.
- Sairinen, R., Barrow, C. & Karjalainen, T. 2010. Environmental Conflict Mediation and Social Impact Assessment: Approaches for Enhanced Environmental Governance? *Environmental Impact Assessment Review* 30(5):289-292.
- Slootweg, R., Vanclay, F. & van Schooten, M. 2001. Function Evaluation as a Framework for the Integration of Social and Environmental Impact Assessment. *Impact Assessment and Project Appraisal* 19(1): 19-28.
- Taylor, C.N., Bryan, H. & Goodrich, C.G. 2004. *Social Assessment: Theory, Process and Techniques*. Middleton, USA: Social Ecology Press.
- Tilt, B., Braun, Y. & He, D. 2009. Social Impacts of Large Dam Projects: A Comparison of International Case Studies and Implications for Best Practice. *Journal of Environmental Management* 90 (Supplement 3): S249–S257.
- Vanclay, F. 2002. Conceptualising Social Impacts. Environmental Impact Assessment Review 22(3): 183-211.
- Vanclay, F. 2006. Principles for Social Impact Assessment: A Critical Comparison between the International and US Documents. *Environmental Impact Assessment Review* 26(1): 3-14.
- Vanclay, F. 2012, The Potential Application of Social Impact Assessment in Integrated Coastal Zone Management. *Ocean & Coastal Management* 68, 149-156.
- Vanclay, F., Baines, J. & Taylor, C.N. 2013. Principles for Ethical Research Involving Humans: Ethical Professional Practice in Impact Assessment Part I. Impact Assessment and Project Appraisal 31(4), 243-253.
- Vanclay, F. & Esteves, A.M. (eds) 2011. New Directions in Social Impact Assessment: Conceptual and Methodological Advances. Cheltenham, UK: Edward Elgar.

GUIDES AND PERFORMANCE STANDARDS

- Anglo American. 2012. SEAT Toolbox. Socio-Economic Assessment Toolbox. Version 3. London. 292p.
- Franks, D., 2012. Social Impact Assessment of Resource Projects. International Mining for Development Centre Mining for Development: Guide to Australian Practice.
- Mackenzie Valley Environmental Impact Review Board. 2007. Socio-Economic Impact Assessment Guidelines.
- Gibson, G. & O'Faircheallaigh, C. 2010. IBA Community Toolkit: Negotiation and Implementation of Impact and Benefit Agreements. Commissioned by the Walter and Duncan Gordon Foundation: Toronto, ON. March 2010.



HISTORY