

3. Agency Component Abbreviations

| Component Abbreviation | Component Name                                   |
|------------------------|--|
| NRPC                   | National Railroad Passenger Corporation (AMTRAK) |

IV. Exemption 3 Statutes

| Statute | Type of Information Withheld | Case Citation | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
|---------|------------------------------|---------------|--------------------|---|---|
| N/A     | N/A                          | N/A           | NRPC               | 0   | 0   |

**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
|--------------------|---|--|---|---|
| NRPC               | 131   | 213  | 191   | 153   |
| AGENCY OVERALL     | 131   | 213  | 191   | 153   |

After reviewing its database, Amtrak adjusted the number of requests pending as of the start of the Fiscal Year.

**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions |   |                   |                    |                                  |  |                   |                   |                               | TOTAL |
|--------------------|-----------------------|--|--|---|---|-------------------|--------------------|----------------------------------|--|-------------------|-------------------|-------------------------------|-------|
|                    |                       |  |  | No Records  | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other *Explain in Chart Below |       |
| NRPC               | 52                    | 42   | 11   | 26  | 0   | 31                | 15                 | 2                                | 5                                      | 0                 | 7                 | 0                             | 191   |
| AGENCY OVERALL     | 52                    | 42   | 11   | 26  | 0   | 31                | 15                 | 2                                | 5                                      | 0                 | 7                 | 0                             | 191   |

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
|--------------------|--|--|-------|
| NRPC               | N/A  | 0  | 0     |

|                |  |  |   |
|----------------|--|--|---|
| AGENCY OVERALL |  |  | 0 |
|----------------|--|--|---|

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|--------------------|-------|-------|-------|-------|-------|-------|----------|----------|----------|----------|----------|----------|-------|-------|
| NRPC               | 0     | 0     | 0     | 7     | 11    | 28    | 4        | 0        | 11       | 0        | 2        | 0        | 0     | 0     |
| AGENCY OVERALL     | 0     | 0     | 0     | 7     | 11    | 28    | 4        | 0        | 11       | 0        | 2        | 0        | 0     | 0     |

**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
|--------------------|--|---|--|--|
| NRPC               | 3  | 7   | 1  | 9  |
| AGENCY OVERALL     | 3  | 7   | 1  | 9  |



**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other *Explain in chart below |
|--------------------|------------|---|-------------------|--------------------|----------------------------------|------------------------------------|-------------------|-----------------------------|-----------------------|---|-------------------------------|
| NRPC               | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |
| AGENCY OVERALL     | 0          | 0   | 0                 | 0                  | 0                                | 0                                  | 0                 | 0                           | 0                     | 0   | 0                             |

**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
|--------------------|---|--|-------|
| NRPC               | N/A   | 0  | 0     |
| AGENCY OVERALL     |   |  | 0     |

**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|--------------------|-----------------------|------------------------|-----------------------|------------------------|
| NRPC               | 74                    | 74                     | 74                    | 74                     |
| AGENCY OVERALL     | 74                    | 74                     | 74                    | 74                     |

**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

| Agency / Component |                        | 10th Oldest Appeal | 9th        | 8th        | 7th        | 6th        | 5th        | 4th        | 3rd        | 2nd        | Oldest Appeal |
|--------------------|------------------------|--------------------|------------|------------|------------|------------|------------|------------|------------|------------|---------------|
| NRPC               | Date of Appeal         | N/A                | 2019-07-30 | 2019-06-18 | 2019-02-12 | 2019-02-11 | 2019-02-11 | 2018-12-21 | 2018-04-19 | 2017-08-02 | 2017-03-08    |
|                    | Number of Days Pending | 0                  | 43         | 72         | 160        | 161        | 161        | 194        | 364        | 544        | 634           |
| AGENCY OVERALL     | Date of Appeal         | N/A                | 2019-07-30 | 2019-06-18 | 2019-02-12 | 2019-02-11 | 2019-02-11 | 2018-12-21 | 2018-04-19 | 2017-08-02 | 2017-03-08    |

|  |                        |   |    |    |     |     |     |     |     |     |     |
|--|------------------------|---|----|----|-----|-----|-----|-----|-----|-----|-----|
|  | Number of Days Pending | 0 | 43 | 72 | 160 | 161 | 161 | 194 | 364 | 544 | 634 |
|--|------------------------|---|----|----|-----|-----|-----|-----|-----|-----|-----|

**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

| Agency / Component | SIMPLE                |                        |                       |                        | COMPLEX               |                        |                       |                        | EXPEDITED PROCESSING  |                        |                       |                        |
|--------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|
|                    | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| NRPC               | 601                   | 457.2                  | 7                     | 832                    | 29                    | 115.41                 | .5                    | 719                    | 652                   | 652                    | 652                   | 652                    |
| AGENCY OVERALL     | 601                   | 457.2                  | 7                     | 832                    | 29                    | 115.41                 | <1                    | 719                    | 652                   | 652                    | 652                   | 652                    |

**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

| Agency / Component | SIMPLE                |                        |                       |                        | COMPLEX               |                        |                       |                        | EXPEDITED PROCESSING  |                        |                       |                        |
|--------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|
|                    | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| NRPC               | 8                     | 8                      | 8                     | 8                      | 33.5                  | 108.89                 | .5                    | 661                    | 652                   | 652                    | 652                   | 652                    |

|                |   |   |   |   |      |        |    |     |     |     |     |     |
|----------------|---|---|---|---|------|--------|----|-----|-----|-----|-----|-----|
| AGENCY OVERALL | 8 | 8 | 8 | 8 | 33.5 | 108.89 | <1 | 661 | 652 | 652 | 652 | 652 |
|----------------|---|---|---|---|------|--------|----|-----|-----|-----|-----|-----|

**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
|--------------------|------------|------------|------------|------------|-------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------|-------|
| NRPC               | 3          | 0          | 0          | 0          | 0           | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0         | 3     |
| AGENCY OVERALL     | 3          | 0          | 0          | 0          | 0           | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0         | 3     |

**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
|--------------------|------------|------------|------------|------------|-------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------|-------|
| NRPC               | 77         | 22         | 9          | 6          | 7           | 3            | 13           | 7            | 7            | 3            | 5            | 7            | 20        | 186   |
| AGENCY OVERALL     | 77         | 22         | 9          | 6          | 7           | 3            | 13           | 7            | 7            | 3            | 5            | 7            | 20        | 186   |



**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
|--------------------|------------|------------|------------|------------|-------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------|-------|
| NRPC               | 0          | 0          | 0          | 0          | 0           | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 1         | 1     |
| AGENCY OVERALL     | 0          | 0          | 0          | 0          | 0           | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 1         | 1     |

**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

| Agency / Component | SIMPLE         |                       |                        | COMPLEX        |                       |                        | EXPEDITED PROCESSING |                       |                        |
|--------------------|----------------|-----------------------|------------------------|----------------|-----------------------|------------------------|----------------------|-----------------------|------------------------|
|                    | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending       | Median Number of Days | Average Number of Days |
| NRPC               | 4              | 788.5                 | 661                    | 134            | 224                   | 283                    | 2                    | 412.5                 | 412                    |
| AGENCY OVERALL     | 4              | 788.5                 | 661                    | 134            | 224                   | 283                    | 2                    | 412.5                 | 412                    |

**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

| Agency / Component |                        | 10th Oldest Request | 9th        | 8th        | 7th        | 6th        | 5th        | 4th        | 3rd        | 2nd        | Oldest Request |
|--------------------|------------------------|---------------------|------------|------------|------------|------------|------------|------------|------------|------------|----------------|
| NRPC               | Date of Receipt        | 2016-09-14          | 2016-09-01 | 2016-08-31 | 2016-06-28 | 2016-05-18 | 2016-04-07 | 2016-03-15 | 2016-03-04 | 2015-09-01 | 2015-08-24     |
|                    | Number of Days Pending | 773                 | 782        | 783        | 829        | 858        | 887        | 904        | 911        | 1044       | 1050           |
| AGENCY OVERALL     | Date of Receipt        | 2016-09-14          | 2016-09-01 | 2016-08-31 | 2016-06-28 | 2016-05-18 | 2016-04-07 | 2016-03-15 | 2016-03-04 | 2015-09-01 | 2015-08-24     |
|                    | Number of Days Pending | 773                 | 782        | 783        | 829        | 858        | 887        | 904        | 911        | 1044       | 1050           |

**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
|--------------------|----------------|---------------|-------------------------------------|--------------------------------------|---|
| NRPC               | 0              | 0             | N/A                                 | N/A                                  | 0   |
| AGENCY OVERALL     | 0              | 0             | N/A                                 | N/A                                  | 0   |

**VIII.B. Requests for Fee Waiver**

| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
|--------------------|----------------|---------------|-------------------------------------|--------------------------------------|
| NRPC               | 0              | 0             | N/A                                 | 0N/A                                 |
| AGENCY OVERALL     | 0              | 0             | N/A                                 | N/A                                  |

**IX. FOIA Personnel and Costs**

| Agency / Component | PERSONNEL                            |   |  | COSTS            |                          |             |
|--------------------|--------------------------------------|---|--|------------------|--------------------------|-------------|
|                    | Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| NRPC               | 1                                    | 0.00  | 1.00                                   | 100000.00        | 0.00                     | 100000.00   |
| AGENCY             | 1                                    | 0.00  | 1.00                                   | 100000.00        | 0.00                     | 100000.00   |

|         |  |  |  |  |  |  |
|---------|--|--|--|--|--|--|
| OVERALL |  |  |  |  |  |  |
|---------|--|--|--|--|--|--|

**X. Fees Collected for Processing Requests**

| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
|--------------------|--------------------------------|---------------------------|
| NRPC               | 78.00                          | 0.0008                    |
| AGENCY OVERALL     | 78.00                          | 0.0008                    |

**XI.A. Number of Times Subsection (C) Used**

| Agency / Component | Number of Times Subsection Used |
|--------------------|---------------------------------|
| NRPC               | 0                               |
| AGENCY OVERALL     | 0                               |

**XI.B. Number of Subsection (A)(2) Postings**

| Agency / Component | Number of Records Posted by the FOIA Office | Number of Records Posted by Program Offices |
|--------------------|---|---|
| NRPC               | 5   | 0   |
| AGENCY OVERALL     | 5   | 0   |

**XII.A. Backlogs of FOIA Requests and Administrative Appeals**

| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
|--------------------|--|---|
| NRPC               | 83   | 7   |
| AGENCY OVERALL     | 83   | 7   |



|                |                |     |     |     |     |     |     |     |     |     |     |
|----------------|----------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
|                | of Days        |     |     |     |     |     |     |     |     |     |     |
| AGENCY OVERALL | Date           | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
|                | Number of Days | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 0   |

**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

| Agency / Component | NUMBER OF REQUESTS <u>RECEIVED</u>                                |   | NUMBER OF REQUESTS <u>PROCESSED</u>                                |  |
|--------------------|---|---|--|--|
|                    | Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| NRPC               | 206   | 213   | 215  | 191  |
| AGENCY OVERALL     | 206   | 213   | 215  | 191  |

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
|--------------------|--|---|
| NRPC               | 64   | 83  |
| AGENCY OVERALL     | 64   | 83  |

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

| Agency / Component | NUMBER OF APPEALS <u>RECEIVED</u>                                 |   | NUMBER OF APPEALS <u>PROCESSED</u>                                 |  |
|--------------------|---|---|--|--|
|                    | Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| NRPC               | 2   | 7   | 5  | 1  |
| AGENCY OVERALL     | 2   | 7   | 5  | 1  |



**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
|--------------------|---|--|
| NRPC               | 2   | 7  |
| AGENCY OVERALL     | 2   | 7  |