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Is dark carbon fixation relevant for oceanic primary production estimates?

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Abstract. About half of the global primary production (PP) is generated in the euphotic layer of the ocean. The ^{14}C method developed by Steemann-Nielsen (Nielsen, 1952) more than half a century ago has been the most frequently used method to determine PP in all aquatic systems. This method includes dark incubations to exclude the non-phototrophic CO_2 fixation. The presence of significant dark DIC fixation rates has been habitually used to suggest the inaccuracy of the ^{14}C method to determine autotrophic phytoplankton primary production. However, we suggest that the dark CO_2 fixation rates should be incorporated into global oceanic carbon production estimates since the total production of organic matter is not originating only from photosynthesis but also from other processes such as chemoautotrophic and anaplerotic processes. Here we analyzed data collected over almost 30 years from the longest available oceanic time series and calculated that the inclusion of dark dissolved inorganic carbon (DIC) fixation would increase oceanic PP estimates by 5-22% when total dark DIC fixation is included or by 2.5-11% when only considering the nighttime DIC fixation. We conclude that dark DIC fixation should be included into global oceanic primary production estimates as it represents newly synthesized organic carbon (ca. 1.2 -11 Pg C y^{-1}) available for the marine food web.

1 Introduction

Primary production (PP) is arguably one of the most important metabolic processes, and half of the global PP is generated in the euphotic layer of the ocean (Field et al., 1998). Thus, it is crucial to accurately estimate marine PP rates. The ^{14}C method to estimate aquatic primary production is based on incubating environmental water samples with a known concentration of ^{14}C-bicarbonate, and measure the concentration of ^{14}C incorporated into microbial biomass, i.e, the conversion rate of inorganic to organic carbon. One of the key issues associated with the interpretation of the results derived from this method is the need to assume that dissolved inorganic carbon (DIC) uptake is associated essentially only with photosynthetic activity of phytoplankton (Harris et al., 1989; Ignatiades et al., 1987; Legendre et al., 1983; Petersen, 1979; Prakash et al., 1991; Taguchi, 1983). That implies that dark DIC fixation of other organisms like heterotrophs or chemoautotrophs is considered insignificant, because if substantial DIC fixation would occur in the dark then this method would not be a reliable measure of photosynthetic primary production (Prakash et al., 1991). Although Steeman Nielsen originally thought

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that dark fixation rates would only amount to about 1% of DIC fixation in the presence of solar radiation, he promptly realized that dark DIC fixation could be up to >50% of that under solar radiation (Nielsen, 1960; Prakash et al., 1991). Despite these findings, the standard protocol of the ^{14}C method, analyses and interpretation of the data have remained essentially unchanged for decades.

However, over the past two-three decades our understanding of the metabolic potential of marine microbes has expanded dramatically. It is now accepted that, besides autotrophic phytoplankton, there are many chemoautotrophs and hetero- and mixotrophs inhabiting the oxygenated upper ocean with the ability to mediate dark DIC fixation. A great metabolic potential related to DIC fixation was uncovered with the development and application of (meta)genomic tools to marine microbial communities (Moran, 2008). High dark DIC fixation rates attributed to chemoautotrophic and heterotrophic prokaryotes have been reported in surface (Alonso-Sáez et al., 2010; Li and Dickie, 1991; Li et al., 1993; Markager, 1998; Prakash et al., 1991), and the deep ocean (Baltar et al., 2010; Baltar et al., 2016; Herndl et al., 2005; Reinthaler et al., 2010). In particular, the rates of DIC fixation parallel those of prokaryotic heterotrophic production in the deep ocean (Baltar et al., 2016; Reinthaler et al., 2010). The contribution of the organic carbon supplied by dark DIC fixation to the prokaryotic carbon demand in the deep ocean is comparable to the supply of sinking particulate organic carbon flux (Baltar et al., 2010). DIC fixation due to chemoautotrophy is assumed to be relatively more important in aphotic than photic waters due to the reported light sensitivity of ammonia oxidation which is a chemoautotrophic process (citation on light sensitivity). However, substantial chemoautotrophy such as nitrification was found to take place not only in the meso- but also in epipelagic waters, where it plays a significant role in providing N for oceanic new production (Yool et al., 2007). In general, chemoautotrophy is widespread in the marine environment amounting to an estimated global oceanic DIC fixation of 0.77 Pg C per year (Middelburg, 2011). This estimated DIC fixation rate is similar to the amount of organic C supplied by the worlds' rivers and buried in oceanic sediments (Middelburg, 2011).

DIC fixation is not only performed by photoautotrophs, but chemoautotrophs and heterotrophs incorporate CO_2 via a wide range of carboxylation reactions (anaplerotic reactions and the synthesis of fatty acids, nucleotides and amino acids) that form part of their central and peripheral metabolic pathways (Dijkhuizen and Harder, 1984; Erb, 2011). Since many ecologically relevant compounds are metabolized via these "assimilatory carboxylases", it has been recently suggested that these enzymes can be relevant for the global C cycle along with "autotrophic carboxylases" (Erb, 2011). In the ocean in particular, anaplerotic DIC incorporation plays an important role in compensating metabolic imbalances in marine bacteria under oligotrophic conditions, contributing up to >30% of the carbon incorporated into biomass (González et al., 2008; Palovaara et al., 2014). Moreover, it has also been shown that if the heterotrophic metabolism of bacteria is suddenly intensified (e.g., after an input of organic matter), dark DIC fixation rates and the expression of transcripts associated to key anaplerotic enzymes increase proportionally (Baltar et al., 2016). Considering the oligotrophic nature of most of the ocean and the sporadic, pulsed input of organic matter it is possible that anaplerotic reactions may at times contribute a larger proportion to dark (and total) DIC fixation. However, despite evidence of

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dark DIC fixation taking place, it remains unknown how much anaplerotic reactions contribute to oceanic DIC fixation.

Bearing all these discoveries on oceanic DIC fixation in mind, it is not surprising that the dark DIC fixation rates have been an issue for the interpretation of the ^{14}C method to measure phytoplankton PP. Traditionally, the way to deal with the dark fixation in the ^{14}C method is to perform light and dark incubations, and subtract the rates obtained under dark conditions from that in the light incubations. The presence of significant dark DIC fixation rates has been habitually attributed to the inaccuracy of the ^{14}C method to determine phytoplankton primary production.

However, we believe that it might be sensible to go a step further and suggest that the dark DIC fixation rates measured with the ^{14}C method should be incorporated into global carbon production estimates. In the oceanic environment, the total production of organic matter is not only originating from photosynthesis but also from chemoautotrophic and anaplerotic processes. These other DIC fixation pathways also produce organic C not only in the daytime but also during nighttime. Thus, although it makes sense to exclude the dark DIC fixation rates if the aim is to estimate photoautotrophic production only, dark DIC fixation (at least the one occurring during the nighttime) should actually be added to the photoautotrophic production if we want to arrive at a realistic estimate on total organic carbon production via DIC fixation.

2 Contribution of dark inorganic carbon fixation to overall oceanic photoautotrophic carbon dioxide fixation

Here, we used the publically available data on the ^{14}C PP method from the longest oceanic time series stations (ALOHA [22°45′N 158°00′W] and BATS [31°40′N 64°10′W]) to determine the relative importance of dark DIC fixation relative to light-based DIC fixation in the epipelagic ocean. Herein, PP refers to the traditional way of estimating PP in the ocean (i.e., the carbon fixed in the light minus that fixed in the dark incubation). We defined “total DIC fixation” as the sum of light + dark DIC fixation. First we compared the temporal and vertical changes in the ratio between dark and light DIC fixation. Then, we integrated the rates and used the stoichiometry of nitrification to calculate the overall relative contribution of dark DIC fixation and nitrification-based DIC fixation to the dark and total organic carbon production. With this, we aim at providing an estimate on the amount of C being missed with the traditionally light-based PP estimates, and make a case for the inclusion of the dark DIC fixation in oceanic organic carbon production estimates.

The available data (i.e., light and dark DIC fixation rates) were obtained from the databases of BATS between 1989 and 2017 and of ALOHA between 1989 and 2000 (Fig. 1). The maximum sampling depth was deeper for ALOHA (175 m) than for BATS (150 m). Yet, both the ALOHA and BATS station showed a pronounced increase with depth in the dark to light DIC fixation ratio spanning from 0

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to >2.5 (Fig. 1). This ratio of dark to light DIC fixation was generally lower at ALOHA than at BATS, particularly in the top 100 m layer. A clearer and stronger seasonality was found for BATS than for ALOHA, provoked by differences in stratification during the summer and vertical mixing during the winter due to their differences in latitude (Fig. 1 and 2). Interestingly, in the BATS dataset, there was a tendency detectable towards a higher ratio of dark to light DIC fixation in the top half of the euphotic layer (0-65 m) from the year 2012 to 2017 than in the preceding years. It is not clear what the reason might be for this increase in the dark to light DIC fixation ratio in recent years. It might be associated, however, to changes in the vertical structure of the water column over this time span as indicated in the shifts observed in temperature, salinity and sigma-t during the same period. The σ_t isopycnal of 26 reached and remained deeper than 200 m during the years 2012-2017 (Fig. 2). This has caused a deepening of the mixed layer, causing a decrease in chlorophyll-*a* concentrations in shallow waters and a deepening of the deep chlorophyll maximum (Fig. 2D).

We then compiled and integrated the data for all available depths (down to 150 and 175 m at BATS and ALOHA, respectively) to calculate how much the inclusion of dark DIC fixation would increase the total PP estimates in the epipelagic waters (Table 1). Due to the strong vertical differences observed in the ratio of dark to light DIC fixation (Fig. 1), we also decided to subdivide the integration of the epipelagic water column into a shallow and a deep layer. At ALOHA, the inclusion of dark fixation would increase PP by 3.7% in the shallow layer (0-65 m) and by 8.6% in the deep layer (65-175 m). When integrating for the whole depth range of the euphotic layer at ALOHA, the inclusion of dark fixation increases PP estimates by 5.1%. At BATS, this contribution is much higher with 17.3% and 36.5% for the shallow (0-70 m) and deep (70-150 m) layer. When integrated for the whole water column, the dark DIC fixation increases PP estimated at BATS by 22.1%.

To estimate the potential relative contribution of chemoautotrophy and anaplerotic reactions to dark DIC fixation, we calculated the potential proportion of nitrification to dark DIC fixation based on the global euphotic nitrification rate of 0.195 d^{-1} obtained by (Yool et al., 2007). For that we used published NH_4^+ concentrations from ALOHA (Segura-Noguera MM et al., 2014) and from BATS (Lipschultz, 2001). The calculated depth-integrated ammonium oxidation by this method (1.5 mmol m^{-2} d^{-1}) is remarkably similar to the rate (1.6 mmol m^{-2} d^{-1}) obtained by Dore & Karl (Dore and Karl, 1996) for ALOHA using inhibitor-sensitive dark ^{14}C uptake assays. We then used the stoichiometry of ammonia oxidation (i.e., ratio of CO_2 fixed per NH_4^+ oxidized of 0.1) to calculate the potential contribution of ammonia oxidation (nitrification) to the dark DIC fixation. The remaining dark fixation was assumed to originate from other chemoautotrophic processes and anaplerotic metabolism. We found that the integrated contribution of nitrification to dark DIC fixation is relatively low at both stations (8.8% and 2% at ALOHA and BATS, respectively), suggesting that most of the dark fixation (91.2 and 98% at ALOHA and BATS, respectively) is performed by chemoautotrophs other than ammonia-oxidizers and/or anaplerotic metabolism.

Since C fixation occurs both at daytime (photosynthesis, chemosynthesis, anaperotism) and nighttime (chemosynthesis, anaplerotism), a more appropriate measure of the total PP would include the DIC

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fixation over the entire day (and not only during daytime). The DIC fixation in the light incubation represents the fixation performed by all organisms (photoautotrophs, chemoautotrophs and anaplerotic metabolism) hence, including dark fixation during the daytime. The DIC fixation in the dark bottle accounts for the DIC fixation by all organisms during the nighttime. Assuming that the dark DIC fixation is constant during over the diel cycle, we can calculate the nighttime DIC fixation by dividing the dark daily DIC fixation (in mg C m^2 d^1) by half (assuming a 12 h dark period). That would imply that the inclusion of dark DIC fixation in PP estimates would increase total PP (DIC fixation) by 2.5% at ALOHA and 11% at BATS. It is important to realize that for anaplerotic DIC fixation this would be a conservative estimate since it has been observed that proteorhodopsin-harboring heterotrophic marine bacteria increase their DIC fixation due to anaplerotic reactions in response to light (González et al., 2008; Palovaara et al., 2014). Moreover, chemoautotrophic DIC fixation rates such as nitrification are reduced in the presence of light. Thus, the chemoautotrophic fixation taking place in the light bottles also represent a conservative estimate.

3 Conclusions and implications

Collectively, these results suggest that including total dark DIC fixation into actual PP estimates increases the total PP rates by 5 and 22% at ALOHA and BATS, respectively, and by 2.5 to 11% when only the nighttime DIC fixation is considered. Considering a net primary production (photoautrotrophic) in the global ocean (Field et al., 1998) of ca. 50 Pg C y^{-1}, this range of contribution of the dark DIC fixation (2.5 to 22% of total PP) would translate into ca. 1.2 to 11 Pg C y^{-1}. To put these numbers into context, the C flux associated to dark ocean (>200 m) chemoautotrophy is 0.11 Pg C y^{-1}, and the total respiration C fluxes in the global ocean sediments, the dark ocean and in the euphotic zone are 1.2, 7.3 and 44 Pg C y^{-1}, respectively (Dunne et al., 2007; Middelburg, 2011). This is a substantial amount of organic C produced via DIC fixation currently not accounted for in global C budget estimates, which might have implications for the carbon cycling by the heterotrophic food web. For instance, this, thus far, largely ignored and thus unaccounted source of newly synthesized organic C might help resolving the contrasting views of whether the ocean is net heterotrophic or net autotrophic (Duarte et al., 2013; Ducklow and Doney, 2013; Williams et al., 2013), as well as reconcile the imbalance between the deep ocean heterotrophic C demand and the sinking particulate organic C flux (Baltar et al., 2009; Burd et al., 2010; Steinberg et al., 2008). Moreover, the relevance of incorporating this dark DIC fixation in the estimates of total PP might become even more crucial if the tendency continues towards an increasing ratio of dark to total PP we observed over the past five year period for BATS. Overall, we suggest that the DIC fixation measured with the ^{14}C method under dark conditions (particularly during nighttime) should be seen as an integral part of the global ocean PP generating new particulate organic carbon potentially available for the marine food web.

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Authors contribution

F.B. and G.J.H contributed equally to the development of the paper.

Data availability statement

All data are available and were downloaded from the BATS (Bermuda Atlantic Time-series) and ALOHA (A Long-term Oligotrophic Habitat Assessment) stations websites.

Competing interests

The authors declare no competing interests.

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Table 1. Integrated total primary production (PP) (i.e., light – dark DIC fixation), dark DIC fixation and percentage of dark to total PP at station ALOHA (0-175 m) from 1989 to 2000 (11 y) and at station BATS (0-150 m) from 1989 to 2017 (29 y). The contribution of nitrification to dark fixation was calculated based on the global euphotic nitrification rate of 0.195 d^{-1} (Yool et al., 2007) using published NH_4^+ concentrations from ALOHA (Segura-Noguera et al., 2014) and from BATS
305 (Lipschultz 2001). The stoichiometry of ammonia oxidation (ratio of CO_2 fixed per NH_4^+ oxidized of 0.1) was used to calculate the potential contribution of ammonia oxidation (nitrification) to the dark CO_2 fixation. The remaining dark fixation was assumed to be from other chemoautotrophic and anaplerotic processes.

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ALOHA				
Depth range (m)	**Total PP (mg C m^{-2} d^{-1})**	**Dark DIC fixation (mg C m^{-2} d^{-1})**	**% of dark to total PP**	**% of dark to total PP (calculated for daily 12h dark fix)**
0-65	289.1	10.7	**3.7**	**1.8**
65-175	117.5	10.1	**8.6**	**4.3**
0-175	406.6	20.8	**5.1**	**2.5**
Depth range (m)	**nitrification (mmol NH_4^+ m^{-2} d^{-1})**	**% dark DIC fixation from nitrification**	**% dark DIC fixation from other chemolitho-autotrophic and anaplerotic reactions**	**% of dark DIC fixation from other chemolithoautotrophic and anaplerotic processes to total PP**
0-70	0.5	5.4	94.6	3.5
70-150	1.1	12.5	87.5	7.5
0-150	1.5	8.8	91.2	4.7

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BATS				
Depth range (m)	**Total PP (mg C m^{-2} d^{-1})**	**Dark DIC fixation (mg C m^{-2} d^{-1})**	**% of dark to total PP**	**% of dark to total PP (calculated for daily 12h dark fix)**
0-70	314.2	54.3	**17.3**	**8.6**
70-150	103.8	37.9	**36.5**	**18.2**
0-150	418.0	92.2	**22.1**	**11**

Depth range (m)	**nitrification (mmol NH_4^+ m^{-2} d^{-1})**	**% of dark DIC fixation from nitrification**	**% of dark DIC fixation from other chemolithoautotrophic and anaplerotic processes**	**% of dark DIC fixation from other chemolithoautotrophic and anaplerotic processes to total PP**
0-70	0.7	1.5	98.5	17.0
70-150	0.9	2.7	97.3	35.5
0-150	1.6	2.0	98.0	21.6

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315 **Figures**

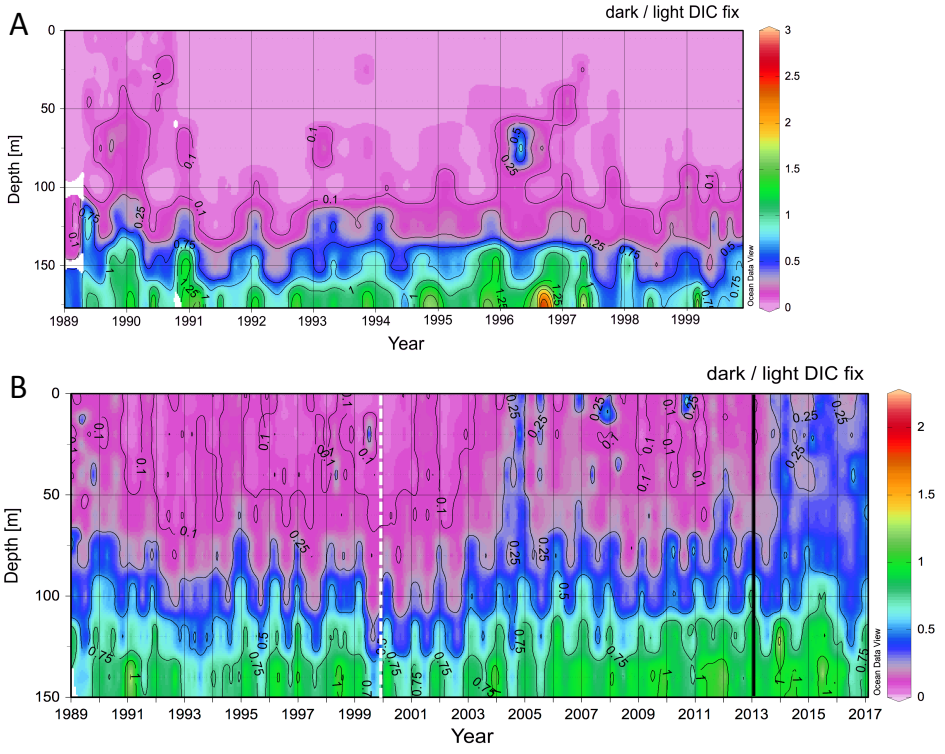

Fig 1. Variation in the ratio of dark to light DIC fixation rates (A) at ALOHA (from 1989 to 2000) and (B) at BATS (from
320 1989 to 2017). The dashed line in the plots for BATS indicates the recent years in record in the ALOHA dataset. The solid black line highlights a potential shift in the year 2013.

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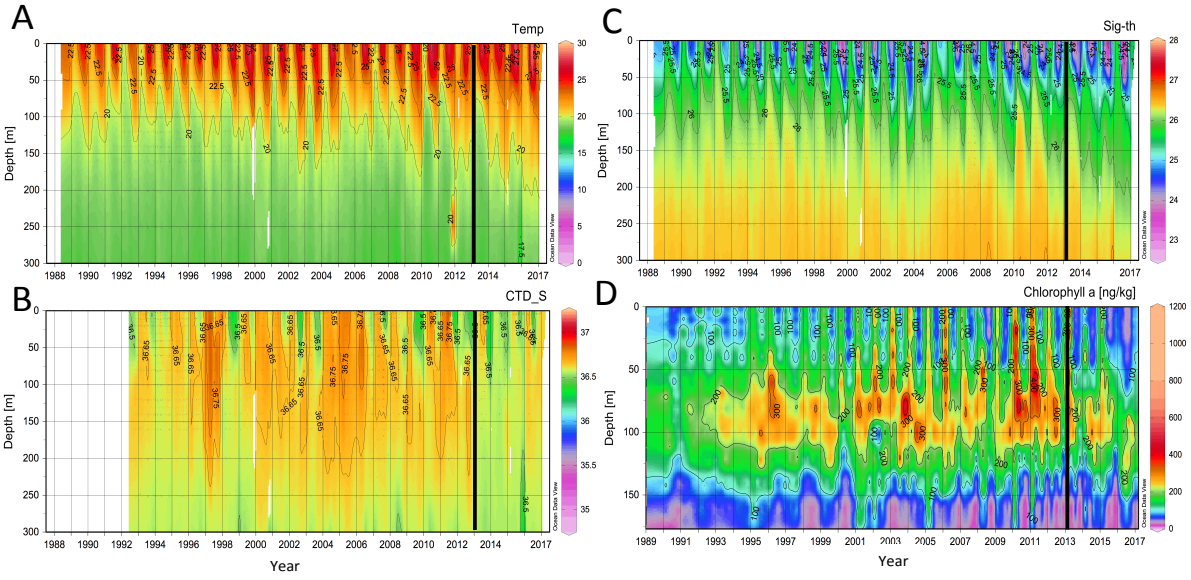

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Fig 2. Variation in (A) temperature (°C), (B) salinity, (C) sigma-t, and (D) Chlorophyll-*a* at BATS (from 1989 to 2017). The solid black line highlights a potential shift in the year 2013.

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