

1                                   **Loss of plastid developmental genes coincides**  
2                                   **with a reversion to monoplastidy in hornworts**

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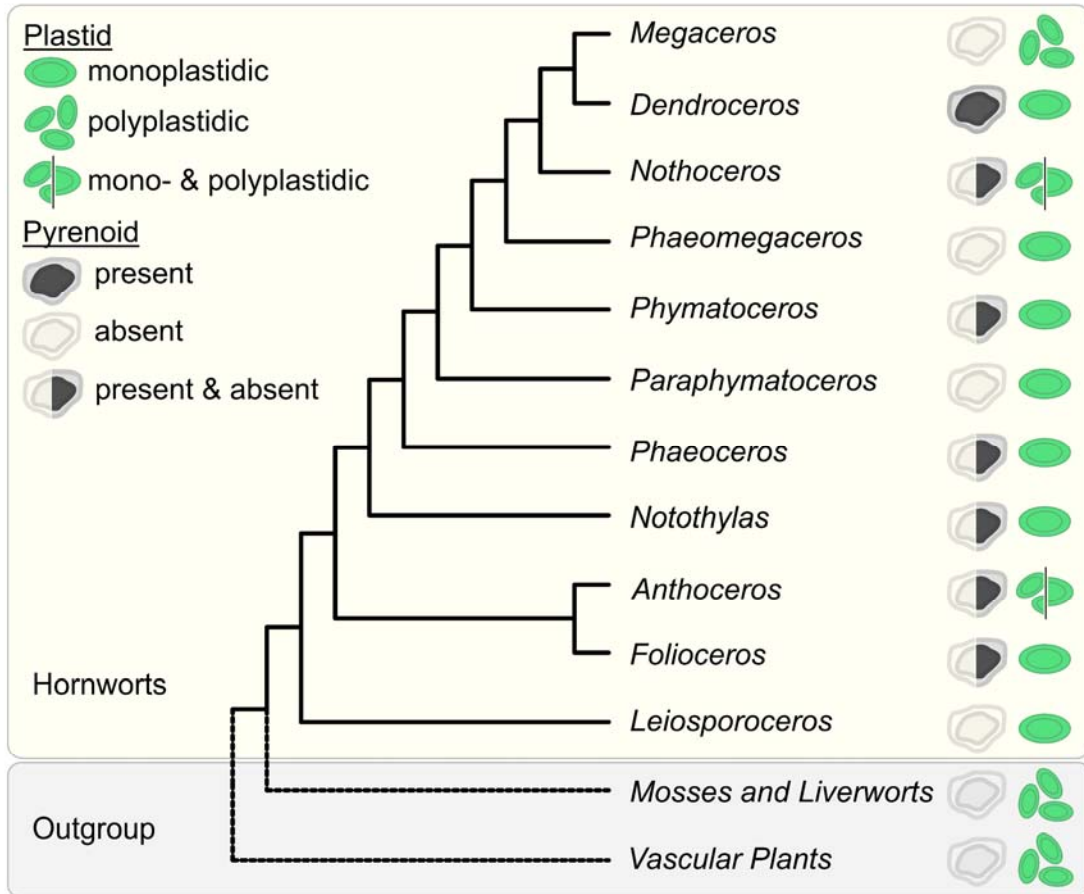
13  
14       **ABSTRACT**

15       The first plastid evolved from an endosymbiotic cyanobacterium in the common ancestor of  
16       the Archaeplastida. The transformative steps from cyanobacterium to organelle included the  
17       transfer of control over developmental processes; a necessity for the host to orchestrate, for  
18       example, the fission of the organelle. The plastids of almost all embryophytes divide  
19       independent from nuclear division, leading to cells housing multiple plastids. Hornworts,  
20       however, are monoplastidic (or near-monoplastidic) and their photosynthetic organelles are a  
21       curious exception among embryophytes for reasons such as the occasional presence of  
22       pyrenoids. Here we screened genomic and transcriptomic data of eleven hornworts for  
23       components of plastid developmental pathways. We find intriguing differences among  
24       hornworts and specifically highlight that pathway components involved in regulating plastid  
25       development and biogenesis were differentially lost in this group of bryophytes. In  
26       combination with ancestral state reconstruction, our data suggest that hornworts have reverted  
27       back to a monoplastidic phenotype due to the combined loss of two plastid division-  
28       associated genes: ARC3 and FtsZ2.

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30       **Keywords:** Plastid evolution; bryophytes; hornworts; plant terrestrialization; plastid division

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32       **INTRODUCTION**

33       Hornworts are a unique group of bryophytes, the monophyletic non-vascular sister lineage to  
34       all vascular land plants (Harris et al. 2020). The phylogenetic position of hornworts, and their  
35       putative phenotypic resemblance to what one might consider to represent the last common  
36       ancestor of all land plants, make them an attractive model for evo-devo studies linked to  
37       events such as plant terrestrialization (Frangedakis et al. 2020). Hornworts are the only group  
38       of land plants known to form a pyrenoid, a unique carbon concentrating mechanism (CCM)  
39       otherwise common in algae – however, these CCMs are not present in all hornworts and are  
40       hence a poor taxonomic marker (*Figure 1*) (Villarreal & Renner 2012).



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**Figure 1. Maximum likelihood (ML) phylogeny of the main eleven hornwort families.** Pyrenoidal and thallus/gametophyte plastidic phenotypes for the genera are indicated, based on Vaughan *et al.* (1992), Li *et al.* (2017), Villarreal *et al.* (2012) and Raven & Edwards (2014). Outgroups are highlighted by the dotted lines.

Hornworts are one of the only groups of embryophytes that have not escaped the monoplastidic bottleneck. It is a phenomenon associated with plastid origin and the organelle's integration into the host cell cycle, which constrain the majority of algae from possessing multiple plastids per cell (*Figure 1*) (de Vries & Gould 2018). One consequence is that the only plastids that hornwort cells house are chloroplasts, whose size and morphology vary across genera (Raven & Edwards 2014; Vaughn *et al.* 1992; Li *et al.* 2017). To address why, we screened the genomes and annotated transcriptomes of ten hornwort species to identify the presence/absence of genes that play key roles in regulating plastid development (Jarvis & López-Juez 2013). We highlight key differences between the developmental plastid biology of hornworts and other established model organisms in the terrestrial clade. Furthermore, we argue that major changes in plastid biology not only coincided with major checkpoints in the evolutionary history of hornworts, but also facilitated them.

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## 59 MATERIALS AND METHODS

### 60 Species and gene tree phylogeny constructions

61 A maximum likelihood (ML) tree (*Figure 1*) was constructed via IQ-TREE version 2.0.3  
62 (Minh et al. 2020), using an automated selection model, by concatenating single-copy  
63 chloroplast and mitochondrial markers from 65 different hornwort species, and three  
64 outgroups (Villarreal & Renner 2012). Said sequences were aligned with MUSCLE in  
65 AliView (Laarson 2014; Edgar 2004). Gene trees for orthologues were generated using  
66 PhyML version 3.0 and IQ-TREE version 2.0.3 using automated selection models (Guindon  
67 et al. 2010; Lefort et al. 2017). We used the SHOOT framework (Emms & Kelly 2021) to  
68 extract orthologous sequences from across the Archaeplastida.

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### 70 Orthologue classification and identification

71 We analysed the genomes and transcriptomes of ten hornworts (supplementary table S1),  
72 along with the genomes of *Arabidopsis thaliana* and *Marchantia polymorpha*, to determine  
73 the presence of various components involved in plastid development (Bowman et al. 2017;  
74 Lamesch et al. 2012; Leebens-Mack et al. 2019; Zhang et al. 2020; Li et al. 2020). To  
75 estimate the completeness of each annotation, we used BUSCO version 5.2.2 (supplementary  
76 table S1) (Manni et al. 2021). Orthology clusters (Orthogroups) were identified using  
77 OrthoFinder version 2.5.4 (Emms & Kelly 2019, 2015) (supplementary table S2). To validate  
78 Orthogroup presence/absence, we checked for reciprocal best hits using DIAMOND  
79 (Buchfink et al. 2015). Due to the difficulty in identifying orthologues for the import protein  
80 YCF1 in the Archaeplastida (de Vries et al. 2015), we employed a different strategy to  
81 identify orthologues for this gene. We extracted established YCF1 sequences from GenBank  
82 and UniProt and used them as queries for DIAMOND.

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### 84 Ancestral state reconstructions (ASRs)

85 A robust ML species phylogeny of the green lineage was constructed via IQ-TREE version  
86 2.0.3 (Minh et al. 2020), using an automated selection model, by concatenating several  
87 housekeeping genes identified with DIAMOND (supplementary table S3 and S4) (Buchfink  
88 et al. 2015). We used a reciprocal best hit pipeline with DIAMOND (Buchfink et al. 2015), to  
89 analyze the genomes of 34 different Streptophytes, seven Chlorophytes and one Glaucophyte  
90 (supplementary table S3) to determine the presence and absence of orthologues involved in  
91 plastid division, to estimate the presence/absence of ARC3 and FtsZ2 at various nodes on our  
92 tree (supplementary table S5). Subsequent ASRs were undertaken using the ape function  
93 from the Phytools package (Revell 2012).

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## 96 RESULTS AND DISCUSSION

### 97 Full conservation of TOC but only partial conservation of TIC in hornwort chloroplasts

98 The vast majority of plastid proteins are encoded by the nuclear genome and, after their  
99 synthesis in the cytosol, are imported into the plastid by the TOC/TIC (translocon of the  
100 outer/inner envelope of the chloroplast) complex (Richardson & Schnell 2020).  
101 Embryophytes have evolved the most sophisticated TOC/TIC complexes (Knopp et al., 2020)  
102 and our data confirm that the hornwort TOC complex is comprised of the same key proteins  
103 that are found in other embryophytes, mainly TOC75, TOC34 and TOC159 (Richardson &

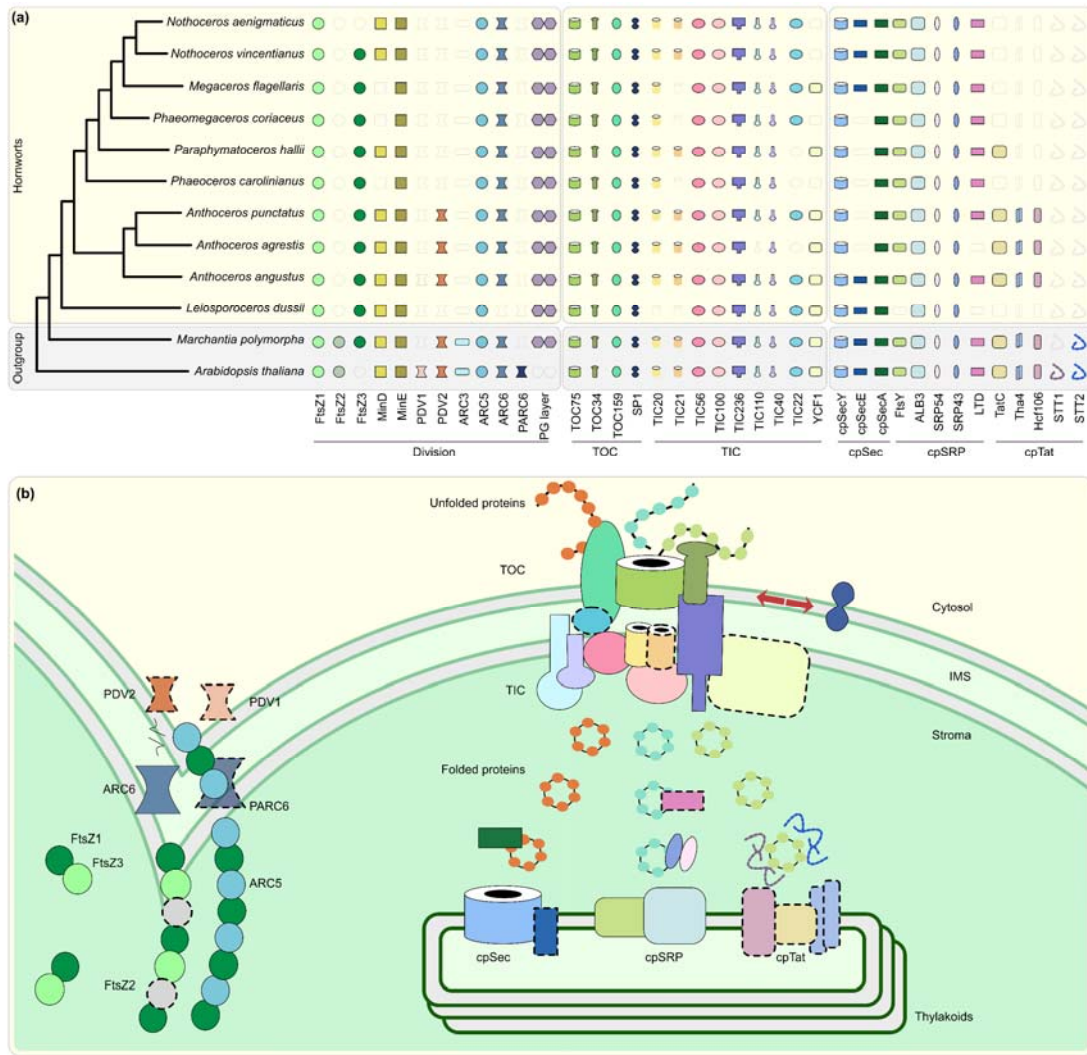
104 Schnell 2020) (*Figure 2A* and supplementary figures S7-19). The recycling of major TOC  
105 components is regulated by the RING-type ubiquitin E3 ligase SP1, which targets these  
106 proteins for proteasomal degradation (Ling et al. 2012) (*Figure 2B*).

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108 The TIC complex of embryophytes is comprised of a 1 MDa multimer that forms a pore that  
109 receives precursor protein from the TOC complex in the intermembrane space (IMS), and  
110 finally mediates their passage to the stroma (Nakai 2015a; Richardson & Schnell 2020). The  
111 presence/absence of TOC/TIC components reveal no pattern with regard to mono-  
112 /polyplastidy or presence/absence of a pyrenoid (*Figure 2A, Figure 1*). However, some TIC  
113 components appear to have undergone differential loss in some hornwort taxa (*Figure 2A*),  
114 most notably TIC21, TIC22, YCF1 (TIC214), and maybe even TIC20 in *Leiosporoceros*  
115 *dussii*. The latter species is the only member of our surveyed taxa that lacks a TIC20  
116 orthologue (*Figure 2A*). This could be the result of a transcriptome annotation and coverage  
117 issues (Cheon et al. 2020), since TIC20 is hypothesized to be a universal protein across the  
118 green lineage (Kalanon & McFadden 2008; de Vries et al. 2015). Should this not be the case,  
119 then maybe YCF1/TIC214 and TIC100 can compensate for TIC20's absence in a unique  
120 manner.

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122 YCF1/TIC214, the only TOC/TIC component encoded by the plastid genome and unique to  
123 the green lineage, is absent from a significant number of hornworts (*Figure 2A*) such as in  
124 *Nothoceros aenigmaticus* for which also the plastid genome is available (Villarreal et al.  
125 2013). Some putative absences of YCF1/TIC214 could be the result of assembly and/or  
126 annotation errors, however, the gene was lost without question in grasses, too (de Vries et al.  
127 2015; Nakai 2015b). The loss of this import protein does not necessarily lead to the loss of  
128 the entire import capacity (Bölter & Soll 2017) and raises the question whether there is a  
129 functional, causative correlation between the loss of YCF1/TIC214 across these diverse  
130 embryophyte groups.



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132 **Figure 2. Plastid development and biogenesis in hornworts.** (a) A presence/absence pattern (PAP) of various  
 133 plastid developmental components that are sorted into three categories based on whether they are associated  
 134 with plastid division (PD), protein translocation across the plastid envelope via TOC/TIC or the thylakoid  
 135 membrane. Transparent icons indicate that no gene could be identified. (b) A combined schematic  
 136 representation of plastid development in embryophytes. Components that are absent from more than two  
 137 hornworts in our surveyed taxa, or absent in this group altogether, are highlighted by dotted outlines. ARC,  
 138 accumulation and regulation of chloroplasts; FtsZ, filamentous temperature Z; IMS, intermembrane space; Sec,  
 139 secretory; SRP, signal recognition particle; Tat; twin arginine translocation; TOC/TIC, translocator of the  
 140 outer/inner chloroplast membrane; PDV, plastid division. While ARC5 is absent from the *Anthoceros agrestis*  
 141 Bonn ecotype, which we included in our OrthoFinder analyses as the representative for this species, our  
 142 reciprocal best hit pipeline confirmed that it is present in the Oxford ecotype, with its gene ID being  
 143 AagrOXF\_evm.TU.utg0000811.174.

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#### 145 **Differential loss of an ancient thylakoid developmental pathway in most hornworts**

146 Thylakoid proteomes contain the bulk of photosynthesis-related proteins of plant cells (Xu et  
 147 al., 2021). After their import via TOC/TIC, thylakoid proteins are recognized and sorted via  
 148 one of three main pathways, the components of which are predominantly derived from the

149 cyanobacterial endosymbiont, or inserted spontaneously (*Figure 2*, supplementary figures  
150 S20-30) (Xu et al., 2021).

151 The chloroplast secretory (cpSec) pathway is involved in importing unfolded proteins to the  
152 thylakoid lumen. Powered by the motor protein cpSecA, unfolded subunits pass through a  
153 pore formed by cpSecY and cpSecE (Xu et al. 2021). Half of surveyed hornworts lack  
154 cpSecE orthologues, with this distribution not showing any unique phylogenetic pattern  
155 (*Figure 1*, *Figure 2A*). Considering cpSecE only plays an accessory role in protein  
156 translocation by tiling and rotating cpSecY's N-terminal half, its absence in some hornworts  
157 indicate that it might not be detrimental to the function of the cpSec pathway (Park et al.  
158 2014).

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160 The chloroplast twin-arginine translocation (cpTat) pathway can import folded proteins and is  
161 powered by the thylakoid's proton motive force (PMF) (Xu et al. 2021). In those hornworts,  
162 for which we identified the cpTat pathway, it is comprised of three proteins: Tha4, TatC and  
163 Hcf106 (*Figure 2*). Precursor proteins initially bind to a TatC-Hcf106 complex. Tha4 is  
164 subsequently recruited via the action of the PMF, undergoing a conformational change,  
165 leading to the passage of the precursor protein (Xu et al. 2021) (*Figure 2B*). The cpTat  
166 pathway seems only to be encoded by the Anthocerotaceae, having been lost in other  
167 hornwort families (*Figure 2A*). If the cpTat pathway is indeed absent in most hornwort  
168 families, then this raises the question on how the thylakoids import folded proteins.  
169 Furthermore, all hornworts appear to lack STT proteins (*Figure 2A*), which mediate liquid-  
170 liquid phase transitions (LLPTs), allowing for more efficient sorting of cpTat substrates  
171 (*Figure 2A*) (Ouyang et al. 2020). cpTat-related LLPTs hence appear absent in hornworts or  
172 are regulated otherwise.

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174 The third main pathway involved in sorting proteins for thylakoid biogenesis is the  
175 chloroplast signal recognition particle (cpSRP) pathway. This translocation complex is  
176 involved in targeting specifically light harvesting complex (LHCP) proteins to the thylakoid  
177 membrane (Xu et al. 2021) (*Figure 2B*). LHCP integration is initiated when a rudimentary  
178 LHCP is transferred from the TIC translocon to the SRP43/SRP54 complex by the LTD  
179 protein. Subsequently, this SRP43/SRP54 complex binds to the FtsY receptor. GTP  
180 hydrolysis results in LHCP integration via the action of the ALB3 integral translocase (Xu et  
181 al. 2021). Our results suggest that the cpSRP pathway is ubiquitous in all hornworts, as the  
182 core components of this pathway are present in the vast majority of our surveyed taxa.  
183 However, FtsY is absent in *L. dussii* and LTD is absent in both *Anthoceros angustus* and *L.*  
184 *dussii*. This differential loss of FtsY and LTD in *L. dussii* could be a consequence of this  
185 species potentially losing TIC20, with this core TIC component being a key LTD interaction  
186 partner (Ouyang et al. 2011).

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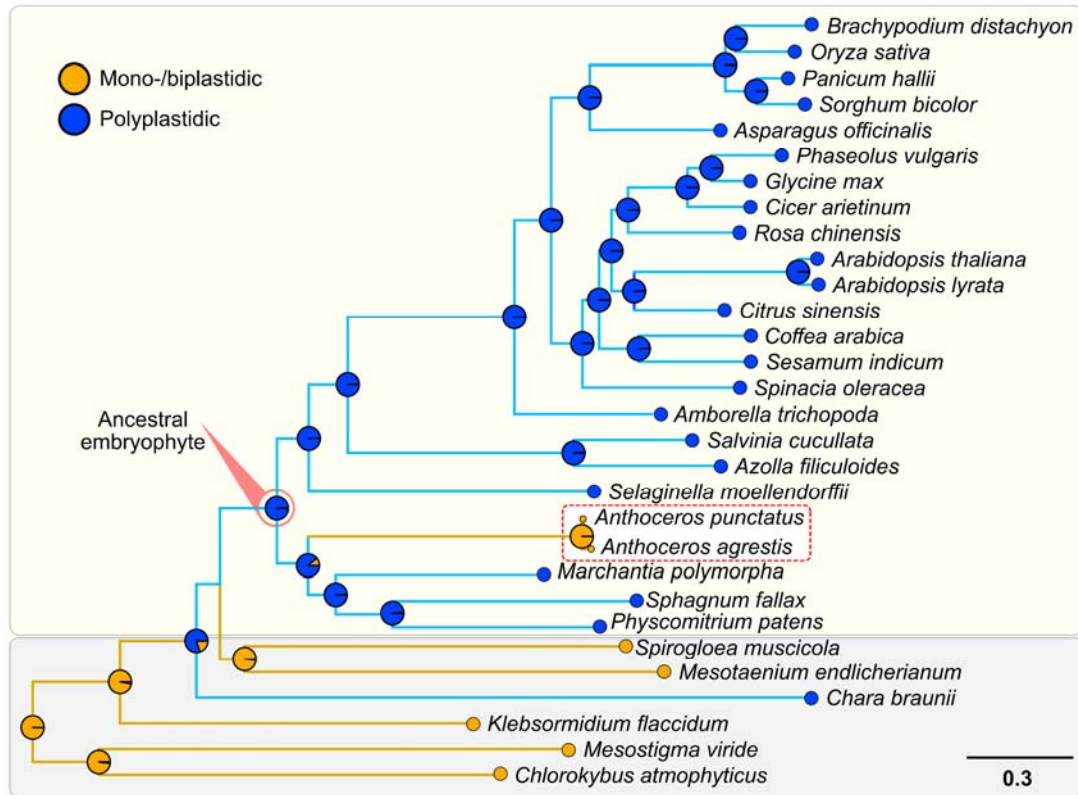
### 188 **Loss of plastid division components coincide with monoplastidy in hornworts**

189 Plastid division in bryophytes is achieved by three components: the outer and inner rings and  
190 most likely the peptidoglycan (PG) layer. The inner division ring (Z-ring) is comprised of  
191 FtsZ1, FtsZ2 and FtsZ3, while the outer division ring comprises ARC5 and FtsZ3  
192 (Osteryoung & Pyke 2014; Grosche & Rensing 2017). Z-ring and outer ring synchronization

193 are achieved via an interplay of ARC6 and PDV2 (Osteryoung & Pyke 2014). The PG layer  
194 is a relic of the chloroplast's cyanobacterial past, and it might be relevant in regulating  
195 chloroplast division in bryophytes and streptophyte algae (Grosche & Rensing 2017; Hirano  
196 et al. 2016). We find that the chloroplasts of all surveyed hornworts possess all the enzymes  
197 necessary for PG layer biosynthesis (*Figure 2A* and *2B*), hinting towards a conserved  
198 function similar to that in the moss *Physcomitrium patens* (Hirano et al. 2016).

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200 Hornworts appear to have differentially lost *both* ARC3 and FtsZ2 (*Figure 2*). This  
201 differential loss correlates with this group of bryophytes reverting back to a monoplastidic, or  
202 near-monoplastidic, phenotype (*Figure 1*) (Villarreal & Renner 2012; Li et al. 2017; Raven &  
203 Edwards 2014). Previous studies have shown that generating individual gene mutant lines of  
204 *ARC3* and *FtsZ2* in *A. thaliana* and *P. patens* cause fewer plastids (in the case of *arc3*  
205 mutants) or one giant plastid per cell (in the case of *ftsZ2* mutants) (Pyke & Leech 1992;  
206 Martin et al. 2009). ARC3 is part of the FtsZ family and unites an FtsZ domain with a C-  
207 terminal MORN domain (Zhang et al. 2013). While ARC3 orthologues are absent in some  
208 polyplastidic seedless plants (such as *P. patens* and the lycophyte *Selaginella moellendorffii*),  
209 these species then possess orthologues for FtsZ2, which might compensate its loss to some  
210 degree (Albert et al. 2011; Rensing et al. 2008; Zhang et al. 2013). This is further supported  
211 by an ancestral state reconstruction analysis that demonstrates that the ancestral embryophyte  
212 possessed both ARC3 and FtsZ2 and was polyplastidic; the opposite of which is true for the  
213 ancestral hornwort (*Figure 3*, supplementary figures S31 and S32). We predict that the loss of  
214 both genes contributed to the monoplastidic nature of hornworts and that re-introducing them  
215 might induce a polyplastidic phenotype.



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**Figure 3. Support for the polyplastidic nature of the ancestral embryophyte and monoplastidic nature of the ancestral hornwort.** Pie charts at the nodes display estimates of the probabilities for the plastidic phenotype of the respective most recent common ancestors (MRCAs). Hornworts are highlighted with a white box and a red dotted line.

## 222 CONCLUDING REMARKS

223 It is evident that hornwort – and bryophyte – emergence and diversification was accompanied  
224 by major instances of gene loss (Harris et al. 2021). We suggest that a consequence of some  
225 of plastid-related gene losses, namely the combined loss of FtsZ2 and ARC3, resulted in  
226 hornworts reverting back to a monoplastidic phenotype, which the embryophyte ancestor was  
227 able to escape. If the knockout of ARC3 and FtsZ2 in *A. thaliana* and *P. patens* results in  
228 monoplastidic phenotypes, could one reverse evolution by expressing ARC3 and/or FtsZ2 in  
229 a hornwort? We anticipate our study to be a starting point for further experiments aimed at  
230 deconstructing bryophyte plastid biology and reconstructing new evolutionary hypotheses for  
231 outstanding questions in this topic. Next to exploring the monoplastidic bottleneck, hornworts  
232 might be able to shed new light on the import of folded proteins into the thylakoid of non-  
233 Anthocerotaceae hornworts, or the consequences of a potential TIC20 loss in *L. dussii* and the  
234 detailed function of YCF1, which next to grasses also some hornworts appear to have lost.

235

## 236 DATA AVAILABILITY

237 All data generated in our study can be accessed at  
238 <https://figshare.com/account/login#/projects/125431>.

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