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**Polyploidy in liver development, homeostasis and disease**

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1 **Abstract** | Polyploidy (or whole-genome duplication) is the condition of having more than  
2 two basic sets of chromosomes. Polyploidization is well tolerated in many species and can  
3 lead to specific biological functions. In mammals, programmed polyploidization takes place  
4 during development in certain tissues such as the heart and placenta and is considered to be a  
5 feature of differentiation. However, unscheduled polyploidization can cause genomic  
6 instability and has been observed in pathological conditions, such as cancer. Polyploidy of the  
7 liver parenchyma was first described more than 100 years ago. It's one of the few mammalian  
8 organs that display changes in polyploidy during homeostasis, regeneration and in response to  
9 damage. In the human liver, around 30% of hepatocytes are polyploid. The polyploidy of  
10 hepatocytes results from both nuclear polyploidy (an increase in the amount of DNA per  
11 nucleus) and cellular polyploidy (an increase in the number of nuclei per cell). In this Review,  
12 we discuss the regulation of polyploidy in liver development and pathophysiology. We also  
13 provide an overview of current knowledge about the mechanisms of hepatocyte  
14 polyploidization, its biological importance and the fate of polyploid hepatocytes during liver  
15 tumorigenesis.

16

17 **Author contributions**

18 R.D., M.S.-A. and P.C. wrote the article and researched data for the article. S.C.-M. wrote the article and made a substantial contribution to the discussion of content. C.D. wrote the  
19 article, researched data for the article, made a substantial contribution to the discussion of content, and reviewed/edited the manuscript before submission.

20 **Competing interests**

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26 placeholder for now]

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## Key points

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- Polyploidy, a condition in which cells contain more than two sets of homologous chromosomes, is a well-known feature of mammalian hepatocytes.
- Polyploidy is defined on the basis of the DNA content of each nucleus (nuclear ploidy; for example,  $2n$ ,  $4n$  or  $8n$ ) and the number of nuclei per cell (cellular ploidy).
- The adult liver contains a heterogeneous mixture of diploid and polyploid hepatocytes.
- The liver is one of the few mammalian organs that display changes in ploidy during normal homeostasis, regeneration and in response to damage.
- Polyploid state could provide protection from tumorigenesis by providing extra copies of tumour suppressor copies.
- Amplification of nuclear ploidy within liver tumours is associated with a poor prognosis.

1 •

## 2 **Introduction**

3

4 The chromosome houses the genetic information specific to all living beings. Each species  
5 has a specific number,  $n$ , of chromosomes in its haploid genome. Thus, *Homo sapiens*  
6 cells have  $n=23$  chromosomes and *Mus musculus* cells have  $n=20$  chromosomes in their  
7 haploid genome <sup>1</sup>. Eukaryotic organisms typically have two complete sets of homologous  
8 chromosomes. However, the number of a set of chromosomes can differ between cells or  
9 species. Cells with only one copy of each chromosome ( $n$ ) are described as haploid, those  
10 with two copies are diploid ( $2n$ ), three copies are triploid ( $3n$ ), four copies are tetraploid ( $4n$ ),  
11 and so on (FIG. 1A). The presence of more than two complete sets of chromosomes is known  
12 as polyploidy or whole-genome duplication <sup>2</sup>. The polyploid state should not be confused with  
13 aneuploidy, in which the copy number of whole chromosomes or chromosome segments is  
14 modified, either by a gain or a loss <sup>3</sup>. In polyploid species, the additional chromosome sets  
15 can have different origins. Allopolyploidy arises through the fusion of two or more cells with  
16 distantly related genomes (that is, from different species). Autopolyploidy arises through the  
17 duplication of a single genome or the fusion of closely related genomes (that is, from the  
18 same species). Finally, the number of chromosome sets can be amplified within a single  
19 nucleus (mononucleate polyploid populations), defining the nuclear ploidy, or, as in some  
20 polyploid cells, the genetic material can be distributed between two or more nuclei, defining  
21 the cellular ploidy (FIG. 1B).

22 Polyploidy is not rare in eukaryotes, and is now considered a common mode of speciation,  
23 with consequences for evolution and biodiversity <sup>4-7</sup>. Indeed, polyploidy is a feature of plant  
24 genomes, contributing to variations in both genome size and gene content <sup>8-10</sup>. Polyploidy has  
25 been observed in most plant groups, but it is most frequent in angiosperms <sup>11-13</sup>. It has also  
26 been reported in some insects, fishes, amphibians and reptiles <sup>7, 14</sup>. In mammals, whole-

1 organism polyploidization is rare, as it typically leads to embryonic resorption or spontaneous  
2 abortion <sup>15-17</sup>. However, the red viscacha rat (*Tympanoctomys barrerae*) and its close  
3 relatives, which are fully tetraploid, are the exception to the rule <sup>18</sup>. The emergence of  
4 polyploid cells in mammals can be associated with the development and differentiation of  
5 certain tissues. For instance, polyploid cells are present in the heart (cardiomyocytes:  $4n$ ),  
6 placenta (trophoblast giant cells:  $8n$  to  $64n$ ), bone marrow (megakaryocytes:  $16n$  to  $128n$ ),  
7 pancreas (acinar cells:  $4n$ ) <sup>19,20</sup> and liver (hepatocytes:  $4n$  to  $8n$ ) <sup>21-23</sup>. Over the past decade,  
8 several breakthroughs have occurred in determining the role of polyploidy in regulating organ  
9 size, tissue regeneration and repair <sup>24, 25</sup>. In *Drosophila melanogaster* and some vertebrate  
10 tissues, polyploidy provides an alternative means of compensating for cell loss, particularly in  
11 post-mitotic tissues that lack stem cells <sup>25</sup>. For example, polyploidy has a crucial role in heart  
12 and kidney regeneration and repair processes in mammals <sup>25-28</sup>. One major concern is the  
13 association of an accumulation of polyploid contingents with many age-related diseases,  
14 including arterial hypertension, hyperthyroidism, metabolic disorders and cancer <sup>29-33</sup>.  
15 Proliferating polyploid cells have been shown to be genetically unstable, thereby potentially  
16 facilitating tumour development <sup>21, 34</sup>. In addition, there is growing evidence to suggest that  
17 polyploid intermediates play a key part in shaping the composition of cancer genomes: most  
18 solid tumours have polyploid or near-polyploid karyotypes <sup>35, 36</sup>.  
19 Thus, several key questions arise. Does polyploidy confer selective benefits and a protective  
20 role in evolution, or does it generate a key contingent of cells that underlie tumorigenesis?  
21 This Review focuses on the duality of the polyploid state, and on the mechanisms leading to  
22 it. In this Review, we will first introduce the different mechanisms leading to polyploidy.  
23 Next, we will examine how polyploid hepatocytes are generated during physiological and  
24 pathological situations. Finally, we will discuss the effects of polyploidy on liver function.

25

## [H1] Mechanisms leading to polyploidy

How does a diploid cell become polyploid? In a physiological or pathological context, various mechanisms can promote the genesis of polyploid cells: endoreplication, cytokinesis failure and cell fusion, which is not dependent on the cell cycle and division (FIG. 2).

### [H2] Cell fusion

Fusion can occur between cells of the same type (homotypic) or cells of different origins (heterotypic) (FIG. 2A). Cells that fuse without nuclear fusion are described as syncytia. The major cell fusion event that modifies ploidy is fertilization (an example of heterotypic cell fusion)<sup>37</sup>. Cell fusion is also a key process in tissue development and homeostasis. For example, myoblasts fuse to form myotubes in muscle, macrophages fuse to form osteoclasts in bone, and cytotrophoblasts fuse to form placental syncytiotrophoblasts<sup>38-40</sup>. Cell fusion mechanisms have been analysed in detail in several experimental models, in particular in myoblast differentiation<sup>41</sup>. Cell fusion requires cell–cell adhesion via the repulsive charges of the two cells' membranes, followed by destabilization of the opposing lipid bilayers, leading to fusion, pore formation and expansion. Myoblasts use actin-propelled membrane protrusions to promote fusogenic protein engagement and fusion pore formation<sup>42</sup>. Cell fusion also has a key role in wound healing and tissue regeneration. In injured tissues, bone marrow-derived cells can fuse to differentiated cells to form hybrids with regenerative potential<sup>43</sup>. Such heterotypic cell fusion has been observed in various human and mice organs, including the muscle, brain, retina, intestine and liver, and it has been shown to participate in the re-establishment of tissue function<sup>44-48</sup>.

Viral infections can also have an important role in the formation of polyploid cells<sup>49</sup>. The best-documented example is that of human papillomavirus (HPV), the major aetiological agent of cervical cancer<sup>50</sup>. The expression of the viral HPV-16 E5 oncoprotein at the surface of infected cervical epithelial cells is sufficient for the formation of tetraploid binucleate cells

1 <sup>51,52</sup>. Tetraploid cervical cells are observed in premalignant lesions of human cervical cancer  
2 and it has been established as a prognostic factor that allows estimating the relative  
3 progression risk into more advanced lesion <sup>53</sup>. Interestingly, women diagnosed as low-grade  
4 squamous intraepithelial lesion/HPV-positive exhibit elevated levels of tetraploid cervical  
5 cells compared with normal/HPV-negative women, indicating that formation of tetraploid  
6 cells is obviously associated with HPV infection <sup>54</sup>.

## 7 **[H2] Endoreplication**

8 The canonical cell cycle of mammals has four successive phases: G1, S, G2 and M (FIG. 2B).  
9 This process is governed by the cyclin-dependent kinases (CDKs), a family of serine–  
10 threonine protein kinases. CDK activity is dependent on association with non-catalytic  
11 regulatory subunits called cyclins. CDK–cyclin complexes phosphorylate specific substrates  
12 to induce DNA replication (which is driven by the CDK responsible for S phase entry, S-  
13 CDK) and mitosis (which is driven by the CDK responsible for M phase entry, M-CDK), the  
14 two major events of the cell division cycle <sup>55</sup>. In mammals, the S and M phases are controlled  
15 by CDK2 associated with cyclins E and A, and by CDK1 associated with cyclins B and A,  
16 respectively. These two steps are coupled, such that mitosis phase cannot begin until S phase  
17 has been completed. Endoreplication is an alternative cell cycle in which cells successively  
18 replicate their genomes in the absence of cell division <sup>56-59</sup>. This process leads to the genesis  
19 of mononucleate polyploid progenies. There are two variants of the endoreplication process:  
20 endocycles and endomitosis (FIG. 2C). During endocycles, cells alternate between G and S  
21 phases, whereas, during endomitosis, cells abort mitosis by skipping metaphase, anaphase or  
22 cytokinesis <sup>60</sup>. Several elegant reviews have highlighted the mechanisms that regulate  
23 endocycles in different species and cell types <sup>25,57,61</sup>. The key event that triggers endocycles  
24 is the inhibition of entry into mitosis. This inhibition might be mediated by the proteolysis of  
25 mitotic cyclins under the control of an E3 ubiquitin ligase, the APC/C (anaphase-promoting



1 complex; also known as the cyclosome). M-CDK can also be inhibited by interactions with  
2 cyclin kinase inhibitors (CKIs). A second crucial event is the oscillation of S-CDK activity  
3 between low and high levels in the G and S phases, respectively, which is essential for  
4 genome reduplication in the absence of cell division. One of the best-characterized examples  
5 of an endocycle in mammals is observed in the trophoblast giant cells. Trophoblast giant cell  
6 polyploidization is crucial for implantation and for the modulation of post-implantation  
7 placentation<sup>62</sup>. In particular, the accumulation of the CKIs p57 and p21 is essential for  
8 endocycle induction, as it enhances cyclin B degradation and inhibits CDK1 activity<sup>63, 64</sup>.  
9 Also, E2F transcription factors are required for the regulation of trophoblast giant cell  
10 polyploidization<sup>65, 66</sup>. This point is discussed in more detail later.

11 The observation in different experimental models of a connection between genome instability  
12 and endoreplication cycles is a major source of concern<sup>57, 67</sup>. For instance, double-strand  
13 break induction is common in the leaves of *Arabidopsis thaliana* plants subjected to UV  
14 irradiation. Induction of the DNA damage response results in G2–M arrest. If the DNA  
15 damage response persists, endoreplication is triggered<sup>68, 69</sup>. In this context, it has been  
16 suggested that endoreplication is a strategy used by the plant to sustain growth under  
17 genotoxic stress. In human and mouse cell lines, that have high amounts of dysfunctional  
18 telomeres, endoreplication is induced by p53/pRb<sup>70, 71</sup>. This endoreplication requires  
19 persistent serine-protein kinase ATM and/or ATR (ATM and RAD3-related)-dependent  
20 signalling, which is induced by irreparably damaged telomeres. Prolonged cell cycle arrest in  
21 G2 leads to mitosis being bypassed, which results in the genesis of mononucleate tetraploid  
22 progenies<sup>70, 71</sup>.

23 Endomitosis has been linked to problems in metaphase–anaphase transition. Thus, cancer  
24 cells treated with antimetabolic drugs that target microtubule dynamics (for example, taxanes,  
25 vinca alkaloids and epothilones) are blocked in metaphase owing to spindle assembly

1 checkpoint (SAC) activation <sup>72</sup>. The SAC prevents passage through anaphase until all  
2 chromosomes are properly attached to kinetochores <sup>73</sup>. Sustained SAC activation results in  
3 either cell death through a phenomenon called mitotic catastrophe (leading to cancer  
4 remission), or mitosis exit in metaphase, also known as mitotic slippage, driving cancer  
5 progression <sup>74-76</sup>. The outcome is dictated by the balance between the opposing activities of  
6 the apoptotic machinery and two mitotic ubiquitin ligases. Slippage is thought to involve the  
7 gradual proteolysis of cyclin B1 by the APC/C and CRL2<sup>ZYG11A/B</sup> ubiquitin ligases <sup>77-79</sup>. How  
8 cancer cells treated with spindle poisons (e.g. taxol) avoid apoptosis during mitosis remains  
9 unclear. It has been suggested that polyploidy might confer a survival advantage on tumour  
10 cells, contributing to the evolution of treatment resistance <sup>80</sup>. Mitotic slippage events have  
11 also been described during colorectal cancer cell divisions in tumours with *adenomatous*  
12 *polyposis coli* (*APC*) gene mutations <sup>81</sup>. *APC* mutations are the most frequent type of mutation  
13 in human colorectal tumours <sup>82</sup>. *APC* is involved in the WNT signalling pathway, but it also  
14 binds to mitotic spindle microtubules, and its absence impairs kinetochore–microtubule  
15 interactions, leading to chromosomal instability (CIN) in *APC*-driven murine colon  
16 carcinomas <sup>83</sup>. Dikovskaya and co-workers have demonstrated that conditional knockout of  
17 *APC in vitro* and *in vivo* induces mitotic slippage that is linked to the genesis of a  
18 mononucleate tetraploid cell contingent <sup>81</sup>. However, the link between tetraploid cells with  
19 *APC* mutations and the increase in CIN in colon carcinogenesis remains unclear.

## 20 **[H2] Cytokinesis failure**

21 Cytokinesis is the final step in cell division and is initiated during anaphase <sup>84</sup>. It involves a  
22 finely regulated series of events to ensure that genomic and cytoplasmic materials are evenly  
23 distributed between the two nascent daughter cells. Four events must occur for the correct  
24 induction of cytokinesis: establishment of the division plane, contraction of the actomyosin  
25 ring, ingression of the cleavage furrow and, finally, formation of the intracellular bridge, the

1 degradation of which leads to cell abscission. Interference with the progression of these steps  
2 can lead to cytokinesis failure and to the genesis of binucleate polyploid cells (FIG. 2C)<sup>85, 86</sup>.  
3 Cytokinesis failure has been known for several decades to be a physiological process involved  
4 in the development of certain human tissues and organs, such as the heart<sup>87</sup>, bone marrow<sup>88</sup>  
5 and liver<sup>89</sup>. For example, ventricular cardiomyocytes respond to the increase in blood flow  
6 after birth with an adaptive increase in volume (known as hypertrophy)<sup>90</sup>. This transition from  
7 hyperplasia to hypertrophy is clearly associated with polyploidization as it leads to the genesis  
8 of binucleate tetraploid cardiomyocytes<sup>91</sup>. The degree of polyploidization in ventricular  
9 cardiomyocytes differs between species and varies with age, but it might reach 85% in  
10 rodents and 30% in humans<sup>91, 92, 93, 94</sup>. Cyclin G1 has been identified as an important player  
11 in the molecular machinery that controls cardiomyocyte binucleation. The expression of  
12 cyclin G1 in neonatal cardiomyocytes promotes G1–S cell cycle transition but inhibits  
13 cytokinesis<sup>95</sup>. Cytokinesis failure in cardiomyocytes might also be caused by the abnormal  
14 localization of proteins that regulate the organization of the contractile ring (for example, Ras  
15 homolog gene family member A (RhoA), ROCK-I, ROCK-II and anillin)<sup>87, 96, 97</sup>.  
16 Cytokinesis failure has also been reported in a number of diseases, often in association with  
17 high rates of mutation or genomic instability<sup>98-100</sup>. In their elegant review, Lacroix and  
18 Maddox describe several examples of cytokinesis failure events in different diseases:  
19 Wiskott–Aldrich syndrome, X-linked neutropenia, Fanconi anaemia, Lowe syndrome, type II  
20 neurofibromatosis and age-related macular degeneration<sup>101</sup>. Interestingly, bulk chromatin, or  
21 even a single lagging chromosome trapped in the cleavage furrow, can induce cytokinesis  
22 failure and tetraploidization in human cells<sup>102, 103</sup>. Cytokinesis defects have also been  
23 reported in many different types of cancer cells (e.g. breast, colon and ovarian), as a result for  
24 example of dysfunctions of various proteins that control the cytokinesis process (aurora A,  
25 mitotic arrest-deficient 2 (MAD2) and large tumour suppressor 1 (LATS1))<sup>21</sup>.

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## [H1] Polyploidy in the liver

The liver is a vital organ with an extraordinary range of physiological functions, including the metabolism of carbohydrates, lipids and amino acids, detoxification of xenobiotic compounds, synthesis of serum proteins, and bile production and secretion. These functions are performed primarily by hepatocytes, which account for 70% of the cells of the human liver<sup>104</sup>. Interestingly, the metabolic functions of hepatocytes are specific to their position along the portocentral axis, making it possible to distinguish between ‘periportal’ hepatocytes (which are involved in gluconeogenesis, ureagenesis,  $\beta$ -oxidation of fatty acids, amino acid breakdown and cholesterol biosynthesis) and ‘pericentral’ hepatocytes (which are involved in glycolysis, *de novo* lipogenesis and alcohol detoxification)<sup>105-109</sup>. Another source of hepatocyte heterogeneity is differences in ploidy. At birth, all hepatocytes are diploid, with a single nucleus containing two copies of each chromosome<sup>110, 111</sup>. During postnatal growth, the liver parenchyma undergoes cellular changes characterized by gradual polyploidization, leading to the emergence of hepatocytes of several different ploidy classes (FIG. 3)<sup>110, 111</sup>. Hepatocyte ploidy depends on the DNA content of each nucleus (nuclear ploidy: diploid, tetraploid, octoploid, and so on) and on the number of nuclei per hepatocyte (cellular ploidy: mononucleate, binucleate)<sup>110, 111</sup>. For example, polyploid hepatocytes can be tetraploid (either binucleate with two diploid ( $2n$ ) nuclei or mononucleate with a single tetraploid ( $4n$ ) nucleus) or octoploid (either binucleate with two tetraploid ( $4n$ ) nuclei or mononucleate with a single octoploid ( $8n$ ) nucleus). The liver has long been known to display polyploidy, and various studies have determined the proportions of polyploid hepatocytes in the liver parenchyma of different species<sup>112-116</sup>. For example, up to 90% of adult hepatocytes are polyploid in rodents<sup>89, 117-119</sup>, versus approximately 30% in humans<sup>120-124</sup>. An increase in cell size is the most obvious and consistent consequence of an increase in ploidy. Several studies have shown that a doubling of chromosome number approximately doubles the size of hepatocytes in humans

1 and mice parenchyma<sup>125-128</sup>. There is no substantial difference in the volume of a given state  
2 of ploidy, for example, between a tetraploid contingent of diploid binucleate cells ( $2 \times 2n$ ) and  
3 a tetraploid contingent of tetraploid mononucleate cells with a single tetraploid ( $4n$ ) nucleus  
4<sup>127, 129</sup>. Intriguingly, when the volume of the cell increases twofold during polyploidization,  
5 the surface area of cellular structures increases only 1.4-fold (e.g. yeast and mammalian cells)  
6<sup>99</sup>. In addition, as has already been demonstrated in *Arabidopsis thaliana*<sup>130</sup>, changes in the  
7 proportions of cellular elements upon polyploidization, as chromosome movement, might  
8 influence nuclear structure.

9

## 10 **[H1] Polyploidy in liver development**

11

12 Polyploidization during development has been analysed almost exclusively in rodent models.

13 All hepatocytes in the newborn rodent liver are diploid and have high rates of proliferation<sup>131</sup>.

14 However, cell division gradually declines in rodents, such that, by six weeks of age, DNA

15 synthesis is detected in only a few hepatocytes, at rates similar to those reported for normal

16 adult liver<sup>89, 132</sup>. Various *in vivo* studies have shown that polyploidization begins in the first

17 few weeks after birth with the genesis of binucleate tetraploid hepatocytes ( $2 \times 2n$ )<sup>89, 119, 133-135</sup>.

18 During this period, diploid hepatocytes ( $2n$ ) follow either a normal cell cycle or an adapted

19 cell cycle with karyokinesis but no cytokinesis (cytokinesis failure; FIG. 3). Proliferating

20 binucleate tetraploid hepatocytes can then repeat DNA replication, leading either to

21 cytokinesis, which generates two mononucleate tetraploid hepatocytes ( $4n$ ), or to another

22 failure of cytokinesis, which generates a binucleate octoploid hepatocyte ( $2 \times 4n$ ) (FIG. 3)<sup>89</sup>.

23 This situation results in the establishment of physiological polyploidy. Margall-Ducos et al.

24 showed that the canonical cytokinesis programme is disrupted owing to the absence of

25 actinomyosin ring formation<sup>134</sup>. Indeed, the organization of the actin cytoskeleton during the

26 anaphase–telophase transition is impaired in these conditions. The microtubules fail to contact

1 the cortex, and the molecular signals essential for cleavage plane specification (for example,  
2 aurora B and polo-like kinase 1 (PLK1)) are therefore not correctly delivered. Consequently,  
3 active RhoA, the principal orchestrator of cytokinesis, does not concentrate at the division  
4 site, and the contractile ring is not formed<sup>134</sup>. During postnatal growth, cytokinesis failure  
5 events occur around the time of weaning<sup>134</sup> a period associated with profound changes in  
6 feeding behaviour, hormone concentrations and metabolic pathways<sup>136</sup>. Indeed, insulin has  
7 been identified as a key factor in the genesis of binucleate tetraploid hepatocytes<sup>137, 138</sup>. In  
8 rodent, impairment of insulin signalling greatly decreases the formation of binucleate  
9 progenies, whereas repeated insulin injections promote the generation of polyploid liver cells.  
10 The phosphoinositide 3-kinase (PI3K)–AKT pathway appears to be the principal downstream  
11 pathway that mediates the cellular effects of insulin in these conditions. Various studies have  
12 revealed that PI3K–AKT regulates actin cytoskeleton polarization and reorganization during  
13 processes such as cell migration and invasion<sup>139</sup>. The inhibition of AKT activity in dividing  
14 hepatocytes induces the correct reorganization of actin during mitosis and the correct  
15 localization of RhoA to the site of division, which is essential for successful cytokinesis<sup>137,</sup>  
16<sup>138</sup>. Other factors have also been shown to control binucleation during liver development. E2F  
17 transcription factors, which are master regulators of cell cycle progression<sup>140</sup>, are also  
18 essential. This family includes activators (E2F1, E2F2 and E2F3), repressors (E2F4, E2F5  
19 and E2F6) and two atypical repressors (E2F7 and E2F8). In the mouse liver, E2F1–E2F6  
20 levels are low throughout life, whereas E2F7 and E2F8 levels are high during the first seven  
21 weeks after birth, a period that coincides with liver polyploidization<sup>119</sup>. *E2f7 and E2f8-*  
22 *knockout mouse livers were highly enriched with diploid hepatocytes (60-70% of hepatocytes*  
23 *in KO versus 3-4% in control livers)*<sup>65, 119, 141</sup>. A substantial portion of the deregulated  
24 transcripts identified in *E2f7 and E2f8-knockout mouse livers were involved in cytokinesis*  
25 *(Ect2, Mklp1, Racgap1)*. Interestingly, during hepatocyte binucleation, Ect2, Mklp1 and

1 Racgap are impaired in relaying information from the spindle to the cortex, thereby  
2 preventing the formation of a functional contractile actomyosin ring and thus cytokinesis<sup>134</sup>.  
3 E2F transcription factors have already been identified as key factors in the polyploidization  
4 process in *A. thaliana* and *D. melanogaster*<sup>142-144</sup>. A similar phenomenon has been described  
5 for trophoblast giant cells<sup>65</sup> and endometrial stromal cells<sup>145</sup> in mice. Interestingly,  
6 microRNAs (miRNAs) are also drivers of physiological binucleation<sup>133</sup>. Mouse liver  
7 miRNAs are expressed in an age-dependent manner, and a subset of these molecules, miR-  
8 122 in particular, is differentially expressed during postnatal development. *Mir122* knockout  
9 livers displayed 60%-70% reduction in the number of polyploid hepatocytes, this defect being  
10 rescued by miR-122 overexpression<sup>133</sup>. During liver development, miR-122 directly  
11 antagonizes pro-cytokinesis targets, thereby regulating hepatocyte binucleation<sup>133</sup>. Finally,  
12 the silencing of cell cycle regulator genes, such as *Cdk1*<sup>146</sup>, *Trp53*<sup>147, 148</sup>, *Cdkn1a*<sup>148, 149</sup>,  
13 *cMyc*<sup>150</sup>, *Ccne*<sup>151</sup>, *Birc5* (which encodes survivin)<sup>152</sup>, *Ssu72*<sup>153</sup>, *Stk3* (also known as *Mst1/2*)  
14<sup>154</sup>, *Tgfb1*<sup>155</sup> and *Rbl*<sup>148, 156</sup>, has been shown to modify cellular or nuclear liver  
15 polyploidization in various mouse models. However, the roles of these diverse genes in  
16 controlling the cell cycle and division during liver development in relation to binucleation are  
17 unknown. Together, these studies highlight the essential contribution of cytokinesis failure to  
18 physiological liver polyploidization. Future studies should investigate how the combined  
19 activities of AKT, mTORC2, E2F7, E2F8 and miR-122 lead to inhibition of the cytokinesis  
20 machinery and the expansion of binucleate hepatocytes during liver development.

21

## 22 **[H1] Polyploidy during regeneration after tissues injuries**

23

24 The liver is continually exposed to tissue injuries and stresses throughout life. In the adult  
25 human liver parenchyma, hepatocytes are slowly replaced, with each cell having a mean  
26 lifespan of approximately 200–300 days<sup>157</sup>, but they retain the ability to proliferate rapidly in

1 response to aggression. The induction of liver regeneration by partial hepatectomy is a model  
2 of compensatory hypertrophy, and several studies have revealed changes in ploidy profile  
3 during this process. All hepatocytes enter the cell cycle after partial hepatectomy. By the end  
4 of the regenerative process, cellular ploidy (binucleate polyploid hepatocytes) decreases, and  
5 nuclear ploidy (mononucleate polyploid populations) increases (FIG. 4)<sup>158-162</sup>. Interestingly,  
6 Wilkinson et al. demonstrated that the global ploidy spectrum remained unchanged after  
7 complete liver regeneration in the partial hepatectomy mouse model<sup>141</sup>, which suggests that  
8 the decrease in cellular ploidy is compensated for by the increase in nuclear ploidy. Miyaoka  
9 et al. have used cell lineage approaches and a high-throughput imaging system to investigate  
10 the mechanisms controlling polyploidization during mouse liver regeneration<sup>28</sup>. They found  
11 that, although all hepatocytes entered into the cell cycle after partial hepatectomy, progressed  
12 into S phase, only about half continue through mitosis suggesting that some hepatocytes  
13 undergo endoreplication (amplification of nuclear ploidy). Importantly, hepatocytes that will  
14 go into mitosis undergo cell division (genesis of mononucleate progenies). Thus, by contrast  
15 to liver development, during liver regeneration, there is a shift from cellular polyploidy  
16 (polyploid hepatocytes, predominantly binucleate) to nuclear polyploidy (polyploid  
17 hepatocytes, predominantly mononucleate) (FIG. 4). Pathological conditions, such as hepatic  
18 metabolic overload (of iron or copper)<sup>163-165</sup>, telomere attrition<sup>166</sup> and chronic viral infection  
19 (hepatitis B and C virus)<sup>123, 124, 167</sup>, also promote liver polyploidization, by as yet  
20 uncharacterized mechanisms (FIG. 4). Polyploidy spectrum has been also characterized in  
21 nonalcoholic fatty liver disease (NAFLD) (FIG. 4)<sup>31, 168</sup>. In mice models of NAFLD, there  
22 was an enrichment of highly polyploid mononucleate hepatocytes in the fatty liver  
23 parenchyma. The same phenotype was observed in patients with nonalcoholic steatohepatitis.  
24 The key question is, how are these cells generated? The cell cycles of normal hepatocytes  
25 were compared with fatty hepatocytes in mouse primary cultures. Fatty hepatocytes



1 progressed through G1 phase and entered S phase, but their progression through the S and G2  
2 phases was delayed relative to control cells. This delay was associated with the activation of a  
3 G2–M ‘DNA damage signal’ (ATR-p53-p21 pathway) that precludes the activation of the  
4 mitotic kinase (CDK1–cyclin B) and leads to endoreplication<sup>31, 168</sup>. This work led to the  
5 identification of oxidative stress as a key driver of pathological polyploidization in the livers  
6 of patients with NAFLD. These findings provided the first demonstration of the generation,  
7 by endoreplication, of pathological polyploid hepatocytes in a damaged liver, through  
8 activation of a DNA damage-signalling axis. It is tempting to speculate that endoreplication is  
9 a favoured mode of liver tissue repair, but further studies are needed to determine how various  
10 genotoxic stresses and cell cycle regulators act together to promote endoreplication, and also  
11 the fate of the polyploid hepatocytes generated in such conditions. Emerging data from  
12 multiple experimental models have suggested a conserved role for endoreplication as an  
13 alternative means of proliferation in a context of genome instability<sup>57, 67</sup>.

14

## 15 **[H1] Effects of polyploidy on liver function**

16

17 Is hepatocyte polyploidization merely a manifestation of the physiological growth or  
18 aggression of liver tissue, or do polyploid cells have functions that are physiologically  
19 relevant? The biological importance of liver polyploidization remains unclear, but various  
20 hypotheses have been proposed, some of which have already been partially validated.

### 21 ***[H2] Polyploidization stage and terminal differentiation***

22 Pioneering studies in rodent and human have shown liver polyploidy to be related to terminal  
23 differentiation and senescence<sup>122, 131, 160, 161, 169-171</sup>. As a result, many studies have tried to  
24 determine whether a polyploid hepatocyte can still divide in response to different proliferation  
25 stimuli. Surprisingly, several groups argued that polyploid hepatocytes seem not to be  
26 engaged in senescence but by contrast maintained a high rate of proliferation. For instance,

1 polyploid hepatocytes have been shown to divide as much as the diploid contingent after  
2 partial hepatectomy<sup>28, 172</sup>. The livers of *E2f8*<sup>-/-</sup> mice, which consist predominantly of diploid  
3 hepatocytes, have a regenerative capacity similar to that of wild-type livers, which have a  
4 large proportion of polyploid cells<sup>119</sup>. Similarly, in mouse models of chronic liver injury  
5 followed by competitive repopulation of hepatocytes, diploid and octoploid hepatocytes have  
6 been shown to have equivalent repopulation potentials<sup>117, 173</sup>. By contrast, other studies have  
7 supported the idea that the polyploid state acts to suppress growth and restrict proliferation.  
8 Ganem et al. reported that cytokinesis failure during postnatal murine liver development  
9 triggers the Hippo–LATS2–p53 pathway, which restricts the growth of tetraploid hepatocytes  
10<sup>174</sup>. In addition, tetraploid murine hepatocyte growth has been shown to increase in a *Trp53*<sup>-/-</sup>  
11<sup>147, 174</sup>. In 2018, Wilkinson et al. investigated whether diploid hepatocytes from wild-type  
12 mouse livers had a proliferative advantage compared with polyploidy contingent<sup>141</sup>. They  
13 observed, both *in vitro* (primary mouse hepatocyte cultures) and *in vivo* (mouse liver  
14 regeneration assays), similar responses of diploid and polyploid hepatocytes to hepatic  
15 mitogens, indicating that proliferation kinetics are unrelated to differential responses to  
16 growth stimuli. However, they also showed that diploid hepatocytes entered and completed  
17 the cell cycle more rapidly than their polyploid counterparts. Differences were also observed  
18 among polyploid hepatocytes, with tetraploid cells entering the cell cycle more rapidly than  
19 octoploids. The authors suggested that cell cycle regulation might subtly differ between  
20 diploid and polyploid contingents (for example, early replication licensing and mitosis  
21 progression). The restrictive proliferation of the polyploid contingent might be related solely  
22 to ageing. Diploid, tetraploid and octoploid hepatocytes from two-month-old mice have  
23 identical capacities to repopulate the liver and do not express specific senescence markers,  
24 such as p16, p21 and p53<sup>175, 176</sup>. However, as mice age, the expression of these senescence  
25 markers becomes substantially stronger in octoploid than in diploid and tetraploid

1 hepatocytes, and the repopulation potential of the octoploid contingent also decreases<sup>175, 176</sup>.  
2 Collectively, these data suggest that polyploid hepatocytes retain the ability to respond to  
3 mitogen stimuli and to proliferate. However, during ageing, and possibly in some liver  
4 diseases, polyploidy might induce senescence-type changes. Studies are therefore required to  
5 improve our understanding of ploidy-specific proliferation in different liver injuries such as  
6 chronic liver disease.

## 7 ***[H2] Polyploidization as an enhancer of liver functions***

8 Polyploidy is a common strategy for organogenesis in various systems and organs including  
9 the liver and, over the past 10 years, many studies in different experimental models have  
10 highlighted specific functions for polyploid cells<sup>7, 24, 25, 177</sup>. An innovative study by Rios and  
11 co-workers revealed a role for specific mammalian polyploid cells<sup>178</sup>. They observed  
12 binucleate polyploid cells in the lactating mammary glands of several different species (e.g.  
13 humans, cows and mice)<sup>178</sup>. In mice, aurora kinase A (AURKA) and PLK1 control the  
14 generation of these binucleate cells by cytokinesis failure at the time of the switch to lactation  
15 in response to various signals, including prolactin and epidermal growth factor. The  
16 binucleate alveolar cells were shown to be essential for lactation. Deletion of *Aurka* inhibited  
17 the formation of binucleate cells and decreases milk synthesis<sup>178</sup>.

18 The liver participates in diverse functions, and it is tempting to speculate that physiologically  
19 polyploid hepatocytes have their own features and roles. The gene expression profile in the  
20 liver changes throughout the lobule (along the portocentral axis), resulting in a spatial  
21 zonation of tasks<sup>105-109</sup>. Several groups have investigated whether polyploid hepatocytes  
22 display a specific distribution within mouse hepatic lobules that might account for specific  
23 functions, but the findings of these studies are conflicting. Some studies suggested that the  
24 periportal region contains fewer polyploid hepatocytes than the perivenous region<sup>118, 171</sup>,  
25 whereas others suggested that the proportions of polyploid cells were similar in both regions

1 <sup>119, 134</sup>. Two studies used tissue-imaging systems to reconstruct spatial zonation in the murine  
2 liver and to quantify hepatocyte polyploidy <sup>129, 179</sup>. They showed that the periportal and  
3 perivenous regions were enriched in tetraploid hepatocytes but had a low density of octoploid  
4 hepatocytes, whereas the mid-lobule region (equidistant between the periportal and  
5 perivenous regions) displayed the opposite pattern: it was enriched in octoploid hepatocytes  
6 but had a low density of tetraploid hepatocytes. They concluded that liver polyploidy  
7 proceeds in spatial waves, advancing more rapidly in the mid-lobule region than in the  
8 periportal and perivenous zones. One study investigated whether this zonation of polyploidy  
9 was conserved in normal human hepatic lobules. Bou-Nader et al. observed that neither  
10 mononucleate ( $4n$  or  $\geq 8n$ ) nor binucleate ( $2 \times 2n$  or  $\geq 2 \times 4n$ ) polyploid hepatocytes had a  
11 specific zonal distribution <sup>120</sup>. Much less is known about metabolic zonation in the human  
12 liver than in the mouse liver <sup>108</sup>. Single-cell *in situ* experiments will be required to analyse the  
13 combined effects of metabolic zonation and polyploidy on hepatocyte fate.

14 A simple model used to explain the effects of polyploidy on cellular functions increases  
15 transcription/translation on a per cell basis (e.g. twice as many genes would produce twice as  
16 many proteins) <sup>177, 180, 181</sup>. However, the situation is not quite that simple, as it has been  
17 shown, in a number of species (e.g. plants, *Drosophila*, mammalian cells) that polyploidy  
18 promotes nonuniform genome, transcriptome, epigenome and metabolome modifications <sup>177</sup>.

19 A few years ago, Lu et al. used a microarray approach to analyse the gene expression profile  
20 of fluorescence-activated cell sorting (FACS)-isolated diploid, tetraploid and octoploid  
21 hepatocytes from wild-type mice <sup>182</sup>. Surprisingly, only a few differences in gene expression  
22 profile between the different populations were found. Kreutz and co-workers suggested that  
23 gene expression profiles differ between diploid and mononucleate polyploid hepatocytes, but  
24 not between diploid and binucleate polyploid hepatocytes <sup>183</sup>. This finding would suggest that  
25 increases in nuclear ploidy in the liver parenchyma affect hepatocyte fate, a hypothesis that

1 was tested in mice that lack the mitotic kinase CDK1. Following partial hepatectomy, *Cdk1*-  
2 knockout livers regenerate fully, but hepatocytes endoreplicate, resulting in a large proportion  
3 of mononucleate polyploid hepatocytes in the liver <sup>146</sup>. Using this model, Miettinen et al.  
4 showed that higher ploidy levels were associated with lower levels of expression of  
5 mitochondrial and *de novo* lipid biosynthesis genes and with higher levels of expression of  
6 glycolysis genes <sup>181</sup>. The inhibition of mitochondrial functions and lipid synthesis increases  
7 cell size in human epithelial cells, suggesting a possible causal link cellular metabolism and  
8 polyploidy <sup>181</sup>. These results are consistent with the results of a comparative genome-scale  
9 analysis of mouse and human liver tissues with different levels of polyploidy, in which highly  
10 polyploid livers displayed anaerobic energy production, with ATP obtained from  
11 carbohydrates rather than from fatty acids <sup>184, 185</sup>. Collectively, these results suggest a  
12 probable link between polyploidy and energy demands. Cells that display endoreplication or  
13 cytokinesis failure can channel the energy that would have otherwise gone into cell division  
14 (in particular, ATP and lipid membrane consumption) for other purposes <sup>99</sup>. Thus,  
15 polyploidization might facilitate rapid adaptation to stresses and new-environmental cues.  
16 Finally, Itzkovitz and collaborators demonstrated that liver polyploidy dampens the intrinsic  
17 variability associated with transcriptional bursts <sup>186</sup>. In prokaryotes and eukaryotes, many  
18 genes are transcribed in bursts, with stochastic production of mRNA from the transcription  
19 sites, and with transcription switching between ‘on’ and ‘off’ states <sup>187</sup>. Using single molecule  
20 fluorescence *in situ* hybridization (FISH), the authors demonstrated that gene expression in  
21 the intact mouse liver consists of transcriptional bursts <sup>186</sup>. They observed that transcriptional  
22 noise tends to be lower in tetraploid hepatocytes than in diploid hepatocytes. The authors  
23 suggest that liver polyploidization might therefore counteract the noise increase caused by  
24 genes becoming ‘burstier’ with age, leading to a more controlled gene expression.

25

1 ***[H2] Polyploidization creates genetic diversity***

2 Proliferating polyploid hepatocytes can increase their DNA content, but they can also reduce  
3 it, through a process known as ploidy reversal<sup>117, 121, 188</sup>. Polyploid hepatocytes have larger  
4 numbers of chromosomes than their diploid counterparts, but they also have supernumerary  
5 centrosomes. For example, tetraploid hepatocytes (mononucleate or binucleate) have two  
6 centrosomes in G1–S phases and four centrosomes in G2–M phases<sup>89</sup>. In most cases,  
7 proliferating polyploid hepatocytes form a bipolar mitotic spindle through a specific  
8 centrosome cluster (two centrosomes at each spindle pole), leading to the generation of  
9 polyploid progenies (FIG. 4)<sup>89, 117</sup>. Polyploid hepatocytes can also form multipolar mitotic  
10 spindles and, in this case, cell division can lead to the generation of three or more daughter  
11 cells with lower ploidy states than maternal cells (a phenomenon known as ploidy reversal)  
12 (FIG. 4)<sup>117</sup>. The formation of a multipolar mitotic spindle is also linked to chromosome  
13 segregation errors that arise from merotelic chromosome attachments (the attachment of one  
14 kinetochore to both mitotic spindle poles). In this case, the division of polyploid hepatocytes  
15 leads to random whole-chromosome gains and/or losses, resulting in aneuploid contingents  
16<sup>117, 121</sup>. The connection between polyploidy, ploidy reversal and aneuploidy has been called  
17 the ‘ploidy conveyor’<sup>110</sup>. Interestingly, aneuploidy is observed in many different healthy  
18 human tissues, including those of the skin, brain and placenta<sup>117, 121, 188-191</sup>. However, the  
19 degree of aneuploidy in the healthy liver remains a matter of debate. Studies of proliferating  
20 polyploid hepatocytes in primary mouse culture have revealed high rates of chromosome  
21 segregation errors and aneuploidy (approximately 60%)<sup>117, 192</sup>. By contrast, single-cell  
22 sequencing of hepatocytes in mouse and human liver tissues has revealed only a low level of  
23 aneuploidy (about 5%)<sup>188</sup>. An elegant study by Knouse and collaborators examine the  
24 importance of the tissue environment for chromosome segregation fidelity in the polyploid  
25 liver<sup>172</sup>. By comparing images of mitotic polyploid hepatocytes in mouse regenerating liver

1 or in primary cultures, they demonstrated that tissue architecture is particularly important for  
2 the correction of merotelic attachments and, therefore, for chromosome segregation fidelity in  
3 the polyploid liver <sup>172</sup>. Recently, Marcus Grompe's group has developed a sophisticated  
4 multicolor reporter allele system to genetically label and trace *in situ* mouse polyploid  
5 hepatocytes *in situ* <sup>193</sup>. They observed that polyploid hepatocytes regenerate injured livers and  
6 frequently reduce their ploidy. Ploidy-reduced progenies proliferate and keep the property to  
7 increase their ploidy content. Importantly, they observed that during ploidy reduction  
8 chromosome segregation is not random but faithful. All these data reinforce our view that the  
9 ploidy conveyor model is intriguing, not least because it could generate genetic diversity in  
10 the healthy liver. Duncan and co-workers have analysed the role of hepatic aneuploidy in  
11 chronic liver injury, using *Fah*<sup>-/-</sup> mice, a well-known model of hereditary tyrosinaemia type 1  
12 <sup>194</sup>. In response to massive liver failure, hepatocytes with a partial loss of chromosome 16  
13 expanded, repopulated the liver and restored liver function <sup>192</sup>. The partial loss of  
14 chromosome 16, which carries the gene that encodes homogentisate 1, 2-dioxygenase, confers  
15 resistance to the disease in this model of liver injury. Interestingly, a loss of polyploidy in the  
16 liver (*E2f7/E2f8* double knockout mouse model) resulted in decreased aneuploidy rates and  
17 decreased the ability of the liver to adapt to tyrosinaemia-induced liver failure <sup>195</sup>. Further  
18 studies are now required to determine whether ploidy reversal and specific aneuploid  
19 hepatocytes can facilitate adaptation in chronic human liver diseases.

## 20 ***[H2] Benefits of polyploidization for tissue repair***

21 Polyploidy can be benefic after acute organ failure in different organs, as shown in  
22 *Drosophila* in particular. Losick and co-workers have shown that polyploidization contributes  
23 to wound healing in adult *D. melanogaster* epithelia <sup>196</sup>. Indeed, the epithelial cells that  
24 surround the injury site re-enter S phase, but, rather than dividing, these cells undergo  
25 endocycling and become polyploid. In this case, epidermal repair is inhibited when

1 polyploidy is blocked. In mammals, polyploidy can also be beneficial for tissue repair (e.g.  
2 mouse, human)<sup>24, 25, 197</sup>. In an elegant study, Cao and co-workers devised genetic tools and  
3 live imaging methods for visualizing cell-cycle dynamics during the regeneration of the  
4 zebrafish epicardium<sup>26</sup>. Their results demonstrate that cells in the leading edge (called ‘leader  
5 cells’) of the regenerating epicardium undergo endoreplication or cytokinesis failure and  
6 generate polyploid cells. Farther from the lesion, ‘follower cells’ complete the cell cycle. An  
7 increase in mechanical tension at the leading edge was found to be a key factor driving  
8 polyploidy. Interestingly, most polyploid leader cells undergo apoptosis when regeneration is  
9 complete. These results suggest that mechanical tension within a tissue can favour polyploidy  
10 to support tissue regeneration<sup>26</sup>.

11 As the liver is the most robust regenerative organ in the human body and one of the best  
12 examples of polyploid tissues, it was tempting to speculate that the polyploid contingent has a  
13 major role in tissue repair. As described above, polyploid hepatocytes proliferate during  
14 mouse liver regeneration after partial hepatectomy. Surprisingly, these cells being as effective  
15 as diploid hepatocytes at restoring injured tissues<sup>28</sup>. This phenomenon has been analysed in  
16 the liver of *E2f7/E2f8* double knockout (LDKO) mice presenting reduced polyploidy.  
17 Resected polyploid livers (wild-type) support regeneration as effectively as resected  
18 predominantly diploid livers (LDKO)<sup>119, 198</sup>. Furthermore, no difference in regeneration was  
19 observed when wild-type and LDKO livers were treated acutely with hepatotoxins, such as  
20 carbon tetrachloride or 3,5-diethoxycarbonyl-1,4-dihydrocollidine<sup>65, 119</sup>. These results suggest  
21 that the restoration of liver size by polyploid cell growth during liver regeneration after partial  
22 hepatectomy or after acute injury is not beneficial. Future studies will determine whether  
23 polyploidization is beneficial for tissue repair following chronic liver injury.

24

25 **[H1] Polyploidy in liver tumorigenesis**

26



1 Polyploid cells have been observed in multiple cancer types such as pancreatic<sup>199</sup>, cervical<sup>53</sup>,  
2 lung<sup>200</sup>, colon<sup>201</sup> and prostate<sup>202</sup>. Genomic studies have revealed that approximately 30% of  
3 solid tumours have polyploid or near-polyploid karyotypes<sup>36, 203</sup>. Clinically, polyploidy has  
4 been associated with aggressive, difficult-to-treat different solid tumours (e.g. colorectal and  
5 pancreatic cancers)<sup>80, 203, 204</sup>. Numerous studies have observed that polyploid cells are present  
6 from the transition from premalignant to malignant disease suggesting that a genome-  
7 multiplying event can be a driver of tumorigenesis<sup>53, 205, 206</sup>. It is therefore not surprising that  
8 mechanisms have evolved to limit the proliferation of these cells. It is well illustrated that the  
9 p53 pathway limits the proliferation of polyploid cells to protect genomic integrity<sup>30, 207, 208</sup>.  
10 Polyploid cancer cells (e.g. colorectal, fibrosarcoma) can be also recognized by the immune  
11 system in such a way that their growth is suppressed or delayed<sup>74, 209</sup>. It has been  
12 demonstrated that CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocytes, the interferon system<sup>210</sup> and NK cells<sup>211</sup>  
13 are involved in the control of polyploid cells. One of the mechanism through which polyploid  
14 cells can be recognized by the immune system implies an endoplasmic reticulum (ER) stress  
15 response resulting in the exposure of the ‘eat-me’ signal calreticulin (CALR) at the cell  
16 surface<sup>210, 212</sup>. Senovilla and collaborators also demonstrated that polyploid mouse colon  
17 cancer readily proliferated in immunodeficient mice and conserved their increased DNA  
18 content<sup>210</sup>. Interestingly, the same cells injected into immunocompetent mice generated  
19 tumours only after a delay, and such tumours exhibited reduced DNA content, endoplasmic  
20 reticulum stress and calreticulin exposure<sup>210</sup>.  
21 The accepted model is that tetraploidy drives carcinogenesis by acting as a stochastic  
22 generator of genomic instability. As described earlier, polyploid cells have supernumerary  
23 centrosomes, and this feature is linked to high rates of chromosome missegregation in mitosis  
24<sup>207, 213, 214</sup>. Also, one study neatly showed in colorectal cancer cell lines that tetraploidy  
25 induces replication stress through a massive deregulation of genes that are involved in the

1 correct functioning of the DNA replication machinery<sup>215</sup>. Replication stress has emerged as a  
2 source of CIN<sup>216</sup>. Several laboratories working on different experimental models have shown  
3 that polyploidy is a gateway state that participate to tumorigenesis<sup>34, 70, 204, 217</sup>. The pioneering  
4 experiments were performed by David Pellman and colleagues who showed that *TP53*<sup>-/-</sup>  
5 tetraploid mammary epithelial cells gave rise to malignant tumours in nude mice, whereas  
6 *TP53*<sup>-/-</sup> diploid mammary epithelial cells did not<sup>34, 217</sup>. This finding led to the suggestion that  
7 tetraploidy causes tumorigenesis. The temporal relationship between *TP53* mutations and  
8 polyploidy has been analysed in tumours from prospectively characterized patients with  
9 advanced cancers (e.g. colorectal, pancreatic, lung, uterine)<sup>203</sup>. As expected, polyploidy was  
10 statistically associated with *TP53*-mutated tumours. Interestingly, telomerase reverse  
11 transcriptase (TERT) promoter mutations are associated with an E2F-mediated G1 arrest  
12 defect, but not with polyploidization<sup>203</sup>. Overall, these data suggest that polyploidization is a  
13 key step in tumour formation and progression.

14 The link between polyploidy and the origin of cancer has primarily been demonstrated in  
15 tissues that are physiologically diploid. The role of polyploidy during liver tumorigenesis is  
16 quite puzzling, owing to the physiologically polyploid state of normal adult liver. Whether  
17 polyploidy is a risk factor for or protective against malignant transformation was until  
18 recently unknown. The most widely-accepted hypothesis is that liver polyploidy acts as a  
19 gatekeeper of tumorigenesis<sup>218</sup>. In theory, physiological polyploidization could protect cells  
20 against genotoxic damage by increasing gene copy numbers per cell, which could at least  
21 buffer gene-inactivating mutations<sup>22, 177</sup>. We can easily imagine that in a diploid cell the loss  
22 of heterozygosity of a tumour suppressor gene could have dramatic consequences, such as  
23 uncontrolled proliferation. Acquiring multiple sets of chromosomes could provide backup  
24 tumour suppressor gene copies. In a series of elegant experiments, Zhang et al. demonstrated  
25 that polyploid mouse livers were protected from tumour-suppressor loss of heterozygosity<sup>198</sup>.

1 To modify the liver ploidy spectrum, two genes were silenced: *Anln*, an actin-binding protein  
2 required for cytokinesis, and *E2f8*, which is required for liver polyploidization. Ploidy was  
3 substantially increased after *Anln* knockdown (livers with the majority of hepatocytes are  
4 polyploid) and decreased after *E2f8* knockdown (livers with the majority of hepatocytes are  
5 diploid). The authors observed that higher levels of polyploidy reduced tumour incidence in  
6 diverse mouse liver cancer models, suggesting that polyploidy helps to prevent tumour  
7 development. Notably, in this study, ploidy content has been modified in non-tumoural liver  
8 tissue with wild-type *Trp53*. As demonstrated in others contexts, it is likely that polyploidy in  
9 the absence of *Trp53* could be a driver of liver tumorigenesis. The presence of polyploid cells  
10 within liver tumours could also act as a driver of tumour progression. In 2019, an *in situ*  
11 imaging approach was developed to determine whether cellular and nuclear ploidy content is  
12 altered during human liver tumorigenesis <sup>120</sup>. The ploidy spectra of surgically resected tissues  
13 from patients with hepatocellular carcinoma (HCC) as well as from healthy controls were  
14 determined. These data show that the binucleate polyploid fraction, or cellular ploidy, is  
15 drastically reduced during human liver tumorigenesis ( $\approx 15\%$  in normal tissue versus  $5\%$  in  
16 tumoral tissue). Conversely, the mononucleate polyploid fraction, or nuclear ploidy, is  
17 amplified in HCCs ( $\approx 12\%$  in normal tissue versus  $33\%$  in tumoral tissue). Next, Bou-Nader et  
18 al. compared nuclear ploidy spectra on the basis of histological and molecular features of  
19 HCC tumours. Nuclear ploidy was sufficient to distinguish between premalignant and  
20 malignant liver parenchyma. Mononucleate polyploid hepatocytes were enriched in HCCs  
21 that harboured a low grade of differentiation, a high proliferation rate and a poor prognosis.  
22 Furthermore, it was observed that *TP53* mutations account for a higher percentage of  
23 mononucleate polyploid hepatocytes than both *TERT* promoter-mutated and *CTNNB1*-  
24 mutated HCCs.

1 Taken together, these results indicate that polyploid hepatocytes act as a kind of ‘Jekyll and  
2 Hyde’ during liver tumorigenesis (FIG. 5). A polyploid state could provide protection from  
3 tumorigenesis by providing extra copies of tumour suppressor genes and by restricting  
4 hepatocyte proliferation in pre-malignant tissue with wild-type *TP53*. Conversely,  
5 amplification of mononucleate polyploid hepatocytes within HCCs is associated with *TP53*  
6 mutations, a high proliferative rate and a poor prognosis.

7

## 8 **[H1] Conclusions**

9

10 Polyploidization is a fascinating mechanism that is essential for tissue homeostasis but also  
11 has a role in tumorigenesis. Studies have revealed that the liver is one of the few mammalian  
12 organs to display changes in ploidy in various circumstances. In physiological conditions,  
13 progressive polyploidization occurs during liver development and in ageing, indicating  
14 terminal differentiation. We have learned much about the generation of polyploid hepatocytes  
15 through cytokinesis failure, but we still have much to learn about the function of these cells in  
16 liver homeostasis.

17 There are still important questions that need to be addressed. Is there a difference in cellular  
18 states between diploid and polyploid hepatocytes (that is, in epigenetics, transcriptomes,  
19 proteomes, and so on)? Is there a limit to hepatocyte ploidy? How do polyploid hepatocytes  
20 cope with genome copy number variation and centrosome amplification? And finally, what  
21 are the molecular cues of the ploidy conveyor, and does liver aneuploidy affect human health?

22 The liver parenchyma displays changes in ploidy following tissue injury or stress, particularly  
23 in the context of NAFLD. Future studies should aim to understand whether pathological  
24 polyploidization takes place in other pathologies, and to determine how these polyploid  
25 contingents behave in damaged livers. Do they have specific lobule zonation? Do they  
26 influence disease progression? It will be particularly interesting to determine whether

1 hepatocyte polyploidization affects the process of liver infection (for example, of HCV and  
2 HBV). Interestingly, *Plasmodium* parasites have been shown to preferentially infect and  
3 develop in polyploid hepatocytes<sup>219</sup>.

4 Finally, a major challenge in this field will be to determine whether polyploid HCCs derive  
5 from polyploid or diploid hepatocytes. If they are derived from polyploid hepatocytes, then  
6 the use of polyploidization to protect the liver would be dangerous. Conversely, if polyploid  
7 tumours arise from diploid hepatocytes, then the polyploid state might provide protection in  
8 pathological settings by providing extra copies of tumour suppressor genes and restricting  
9 hepatocyte proliferation.

10

11

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- 31



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12

13

1 **Fig. 1 | Characteristics of polyploidy.** a| Ploidy is the number of complete sets of  
2 chromosomes in a cell. Somatic individual organisms, tissues and cells can be described  
3 according to the number of sets of chromosomes present: haploid (1 set), diploid (2 sets),  
4 tetraploid (4 sets). b | Polyploidy is defined on the basis of the DNA content of each nucleus,  
5 which is called nuclear ploidy (for example, a cell can be tetraploid mononucleate ( $4n$ ) or  
6 octoploid mononucleate ( $8n$ )), and on the number of nuclei per cell, which is called cellular  
7 ploidy (for example, a cell can be tetraploid binucleate ( $2 \times 2n$ ) or hexaploid trinucleate  
8 ( $3 \times 2n$ )).  $n$ , chromosome number.

9

10 **Fig. 2 | Molecular mechanisms that lead to the polyploid state.** Polyploid cells can be  
11 generated through various mechanisms that are classified according to their dependence on  
12 the cell cycle. a | The only cell cycle-independent process that leads to polyploid cells is cell  
13 fusion, which can occur during virus-mediated infections or through receptor–ligand  
14 interactions, giving rise to binucleate or multinucleated cells. b | The other mechanism relies  
15 on modifications of the canonical eukaryotic cell cycle that arise either during interphase (G1,  
16 S and G2) or mitosis (prophase, metaphase, anaphase and telophase). c | Endoreplication  
17 encompasses both endocycling and endomitosis. (1) Endocycling is comprised of alternating  
18 G and S phases, which eventually results in a rise in nuclear ploidy. This aberrant cell cycle is  
19 achieved by the combination of S-phase cyclin-dependent kinase (S-CDK) oscillation and  
20 mitotic entry impediment, either through cyclin B proteolysis or M-phase cyclin-dependent  
21 kinase (M-CDK) inhibition. (2) Endomitosis stems from issues that arise during mitosis. For  
22 instance, prolonged spindle assembly checkpoint (SAC) activation in metaphase resulting  
23 from a non-amphitelic chromosome attachment can be accompanied by progressive cyclin B1  
24 degradation. This process, known as mitotic slippage, allows the cell to escape mitosis and  
25 reach G1. (3) Finally, cytokinesis failure can result in the genesis of binucleate cells by

1 preventing the physical separation of the cytoplasm (through cytokinesis) in telophase.  
2 Cytokinesis failure can be induced as a result of any interferences emerging during the highly  
3 regulated process of cytokinesis. Lightning bolts indicate the location of cell-cycle anomalies,  
4 such as lagging chromosomes or cytoskeleton disorganization.

5 **Fig. 3 | Polyploidization during post-natal liver growth.**

6 **a** | Polyploidization of hepatic tissue is a progressive developmental process that takes place  
7 around the time of weaning and is regulated by insulin, PI3K–mTORC2, E2F and miR-122  
8 signalling. At birth, hepatocytes are exclusively diploid mononucleate ( $2n$ ). During post-natal  
9 liver development after weaning, diploid hepatocytes can either enter the normal cell cycle  
10 (indicated by the blue arrow), giving rise to two diploid hepatocytes, or follow an aberrant  
11 cell cycle that is characterized by incomplete cytokinesis (indicated by the orange arrow),  
12 giving rise to one binucleate tetraploid ( $2 \times 2n$ ) hepatocyte. Progressive polyploidization takes  
13 place via this process in the liver parenchyma, and tetraploid and octoploid cells with one or  
14 two nuclei are formed. Up to 90% of adult hepatocytes in rodents and approximately 30% in  
15 humans are polyploid. **b** | During the complete cytokinesis process (left panel), the cytokinetic  
16 machinery (MgcRacGAP; red; left upper panel) localized during anaphase both on the central  
17 spindle and on astral equatorial microtubules and during telophase at the midbody.  
18 Consequently, RhoA (green; left lower panel), the major cytokinesis orchestrator,  
19 accumulates at the equatorial cortex in early telophase, leading to the formation of the  
20 cytokinetic ring and the genesis of two diploid progenies. During incomplete cytokinesis  
21 (right panel), microtubules are disorganized (green; right upper panel). Consequently,  
22 MgcRacGAP (red; right upper panel) is observed on the remaining interdigitating  
23 microtubules in anaphase and telophase but is never localized on unattached astral equatorial  
24 microtubules, indicating that molecular signals delivered by microtubules to the equatorial  
25 cortex are impaired. Furthermore, RhoA (green; right lower panel) does not correctly localize

1 at the equatorial cortex and is instead observed near the cell centre, close to central spindle  
2 microtubules. Consequently, activation of RhoA GTPase in the central cortex is impaired,  
3 leading to the genesis of binucleate progeny. *Adapted from Figure 6*<sup>134</sup>.

4

5 **Fig. 4 | The genesis of mononucleate polyploid hepatocytes in physiopathological**  
6 **contexts.** In human and mouse models of nonalcoholic fatty liver disease, the oxidative stress  
7 caused by an increase in levels of reactive oxygen species (ROS) induces the activation of the  
8 DNA damage response (ATR–p53–p21). This activation leads to multiple endoreplication  
9 cycles, generating polyploid mononucleate hepatocytes (for example, a tetraploid  
10 mononucleate cell ( $4n$ ) or an octoploid mononucleate cell ( $8n$ )). During liver regeneration,  
11 there is also a shift from cellular ploidy to nuclear ploidy (for example, a diploid binucleate  
12 cell can form two tetraploid mononucleate cells, and a tetraploid binucleate cell can form two  
13 octoploid mononucleate cells or a  $16n$  mononucleate cell). The hepatic parenchyma is also  
14 enriched in polyploid mononucleate hepatocytes (for example,  $4n$  and  $8n$  cells) following an  
15 iron or copper overload.  $n$ , chromosome number.

16

17 **Fig. 5 | The polyploid state: gatekeeper or driver of liver tumorigenesis?** Depending on  
18 the context and especially on the *TP53* status (that is, mutated or wild-type), the polyploid  
19 state can be regarded as a gatekeeper or a driver of hepatocarcinogenesis. Indeed, polyploid  
20 hepatocytes (for example,  $4n$  and  $8n$ ) are more resistant to oncogenic events than diploid  
21 hepatocytes because they possess extra copies of tumour suppressor genes, which confers  
22 protection against loss of heterozygosity by buffering the effects of inactivating mutations.  
23 Furthermore, the polyploid state can hinder hepatocyte proliferation by means of the p53-  
24 dependent ‘tetraploid checkpoint’, which restricts proliferation and favours commitment to

1 cell death. It is therefore thought that liver tumours stem from diploid hepatocytes. *TP53*  
2 mutation represents a crucial event in the development of polyploid tumors, which are  
3 associated with enhanced aggressiveness and poor prognosis. Indeed, interfering with the p53  
4 pathway allows polyploid cells to resume the cell cycle despite exhibiting deleterious  
5 chromosomal instability, which ultimately leads to aneuploidy. Hence, the polyploid state acts  
6 as a tumour-promoting mechanism by amplifying genomic aberrations and exacerbating  
7 tumour aggressiveness. However, it remains unknown whether polyploid tumours arise from  
8 a proliferating polyploid clone or from an initiating diploid tumour that has subsequently  
9 undergone polyploidization.

10

11

12

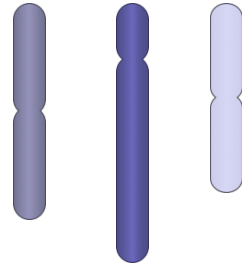
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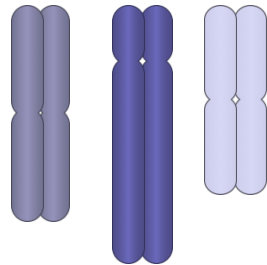
Figure 1:

**A**

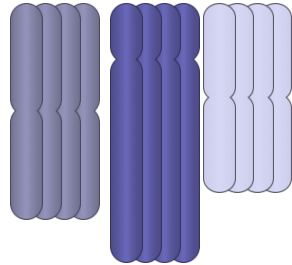
**HAPLOID STATE**



**DIPLOID STATE**



**TETRAPLOID STATE**



**B**

**Nuclear ploidy**



Tetraploid mononucleate cell



Octoploid mononucleate cell

**Cellular ploidy**



Tetraploid binucleate cell

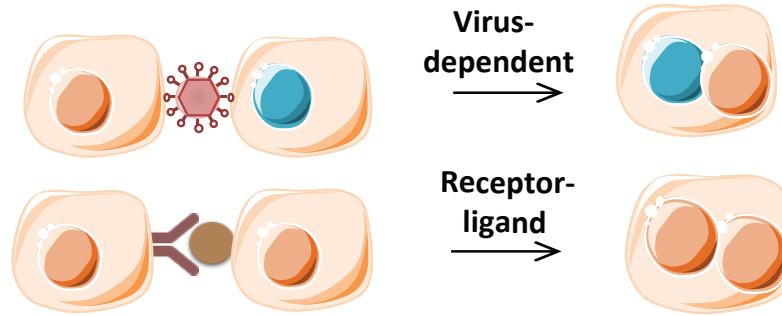


Hexaploid trinucleate cell

Figure 2:

**A**

INDEPENDENT OF CELL CYCLE (Cell fusion)



**B**

DEPENDENT OF CELL CYCLE

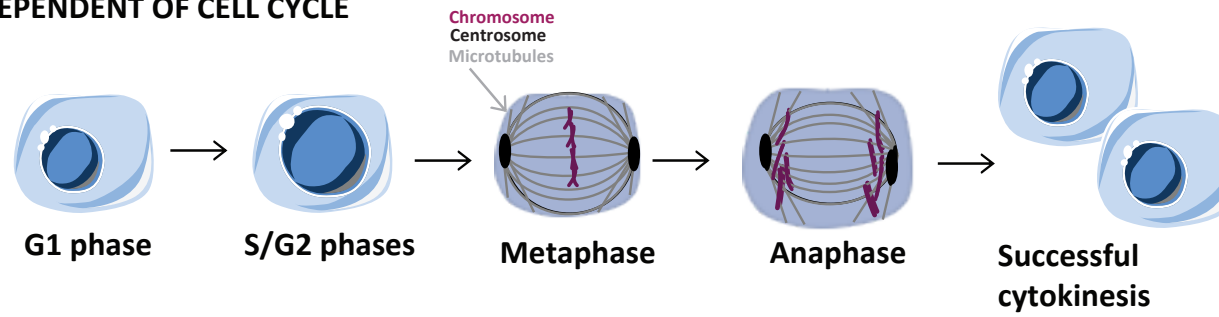
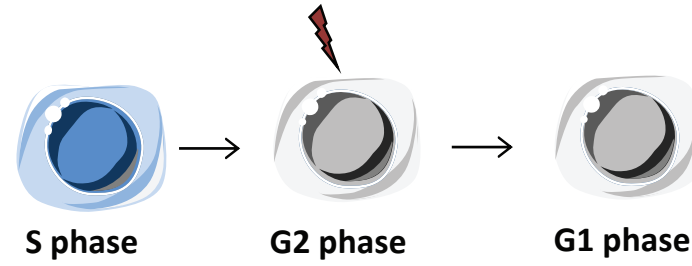


Figure 2:

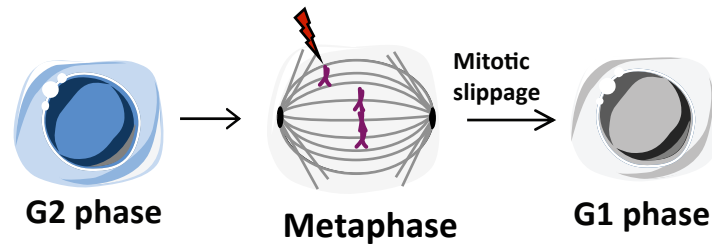
C

1 Endoreplication / Endocycling



- S-CDK oscillation
- M-CDK inhibition

2 Endoreplication / Endomitosis



- SAC activation
- + Cyclin B1 degradation
- = Survival

3 Cytokinesis failure

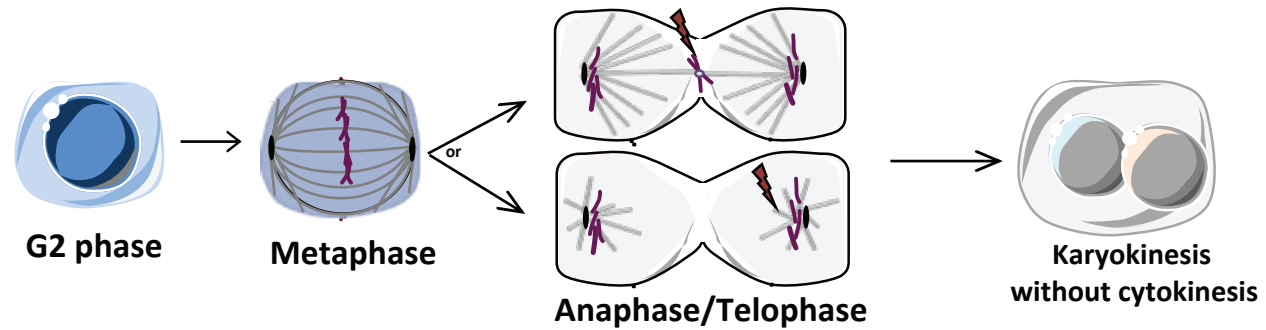




Figure 3:

A

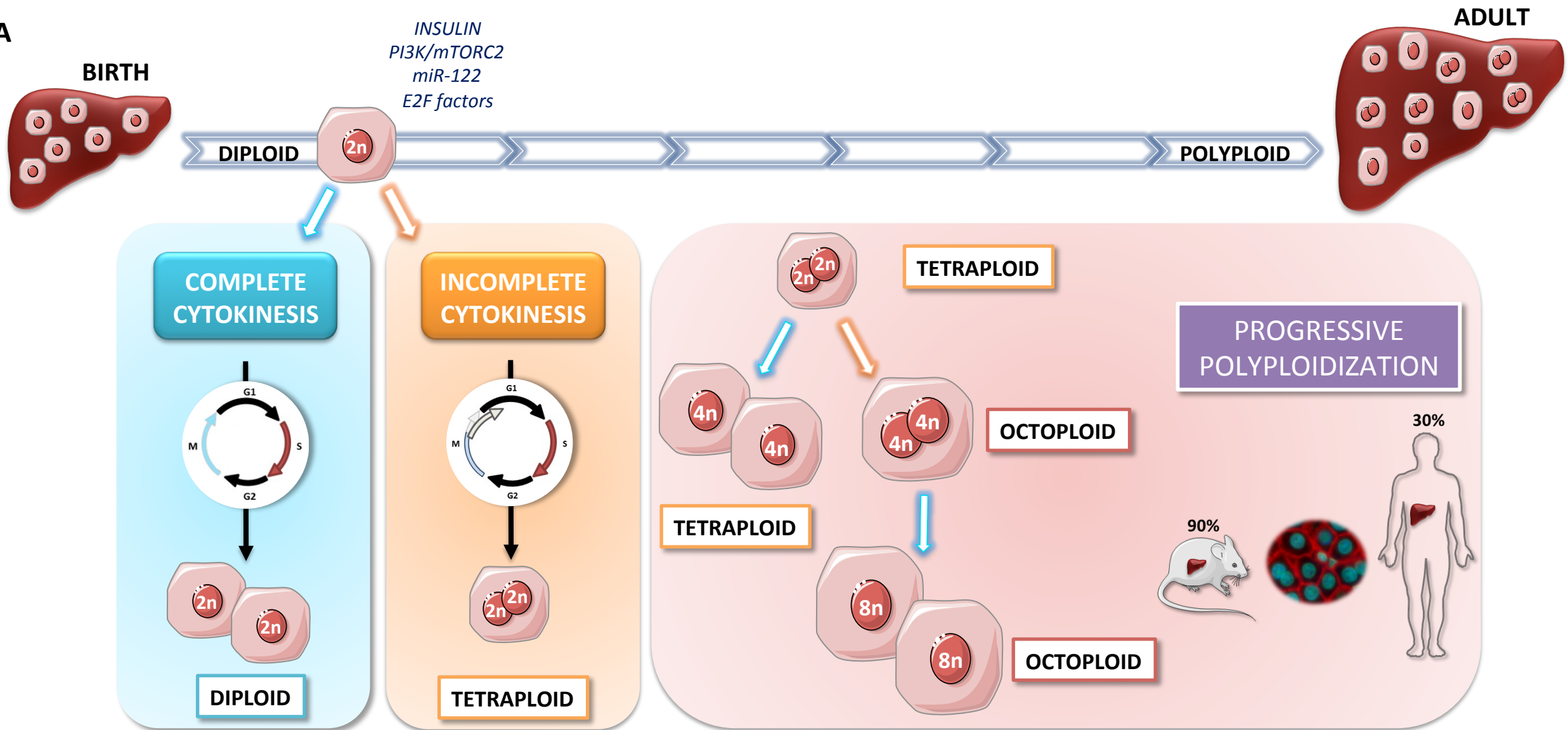
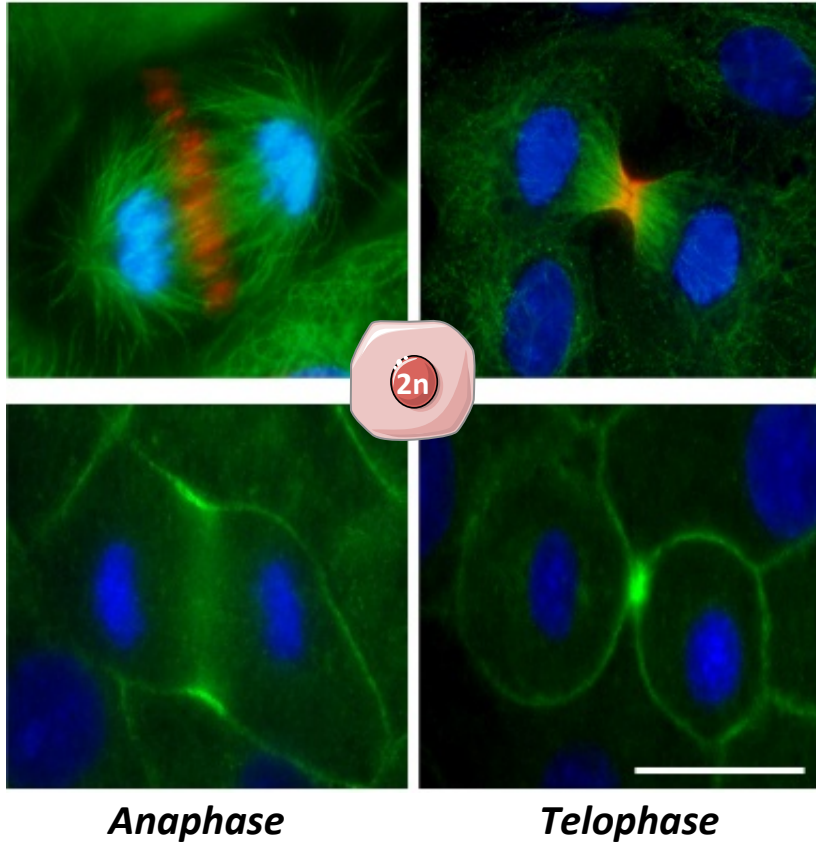


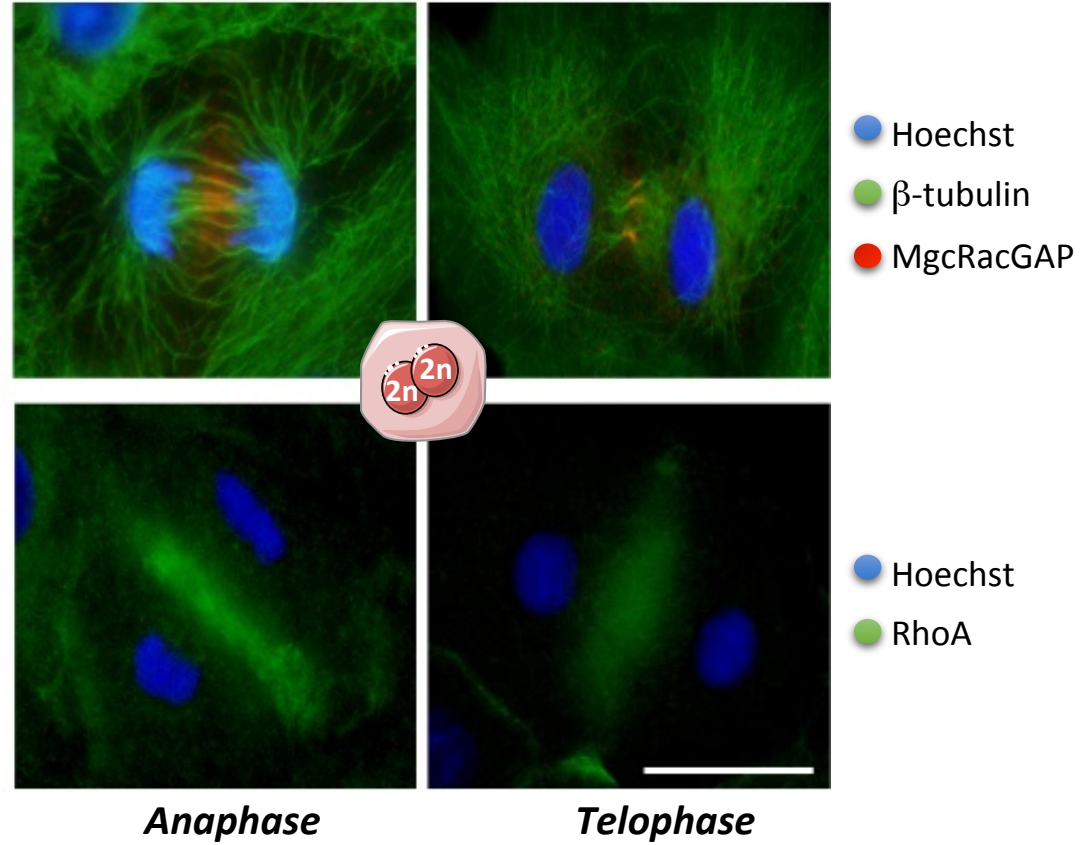
Figure 3:

**B**

COMPLETE CYTOKINESIS



INCOMPLETE CYTOKINESIS



- Hoechst
- $\beta$ -tubulin
- MgcRacGAP

- Hoechst
- RhoA

Figure 4:

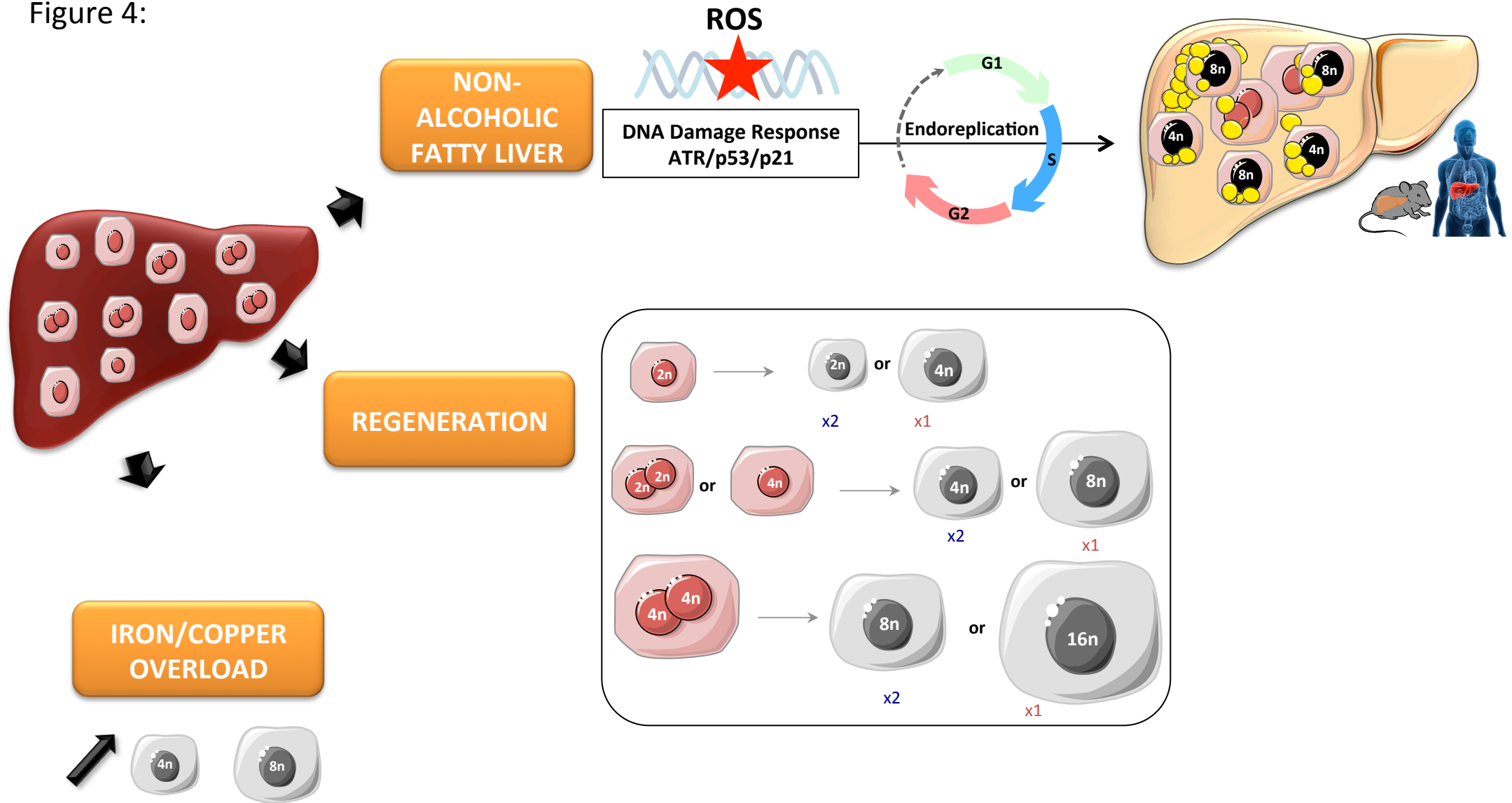


Figure 5:

